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Carbohydrate-Based Surfactants: Promises, Challenges and Future Prospective

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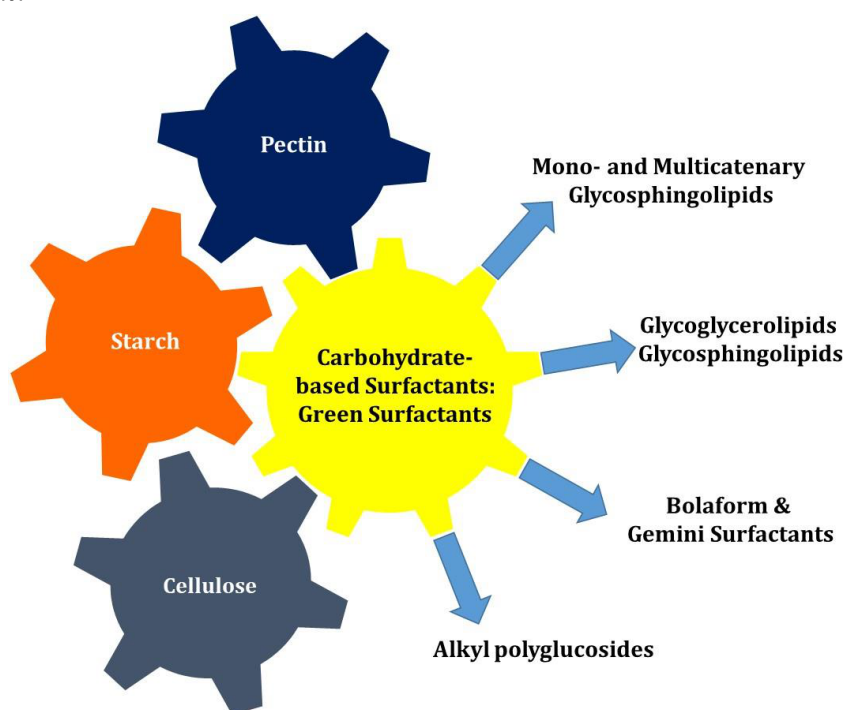
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Graphical Abstract



Abstract

Carbohydrate-based surfactants (CBS) are an important class of amphiphilic compounds containing both hydrophilic and lipophilic moieties. These natural surfactants are biosynthesized within living cells and also easily can be prepared synthetically from the most abundant carbohydrate-rich renewable vegetable raw materials such as cellulose, pectin, hemicellulose, starch, etc. and fatty materials by sequential reactions. Extensive exploitation of non-renewable fossil fuels such as coal, petroleum; natural gas etc. damages the human and ecological health with significant contribution in overall pollution, thus the quest for novel methods and strategies has been accelerated to replace the conventional non-renewable fossil fuel sources with renewable, biodegradable, as well as sustainable sources for surfactant production. In this scenario, carbohydrate surfactants are of great interest because they are naturally abundant, biocompatible, highly biodegradable, and not noxious for the environment, which makes them an excellent alternative to surfactants from petrochemical sources.

Keywords: Surfactants; Amphiphiles; Glycosylation; Liposomes; Micelles

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Dedication: This paper is dedicated Prof. M. S. Wadia, Department of Chemistry, Savitribai Phule Pune University, Pune

1. Introduction

Carbohydrates are major building block in biological system and involved in a number of important biological processes including viral and bacterial adhesions, protein folding, cell growth and proliferation, cell–cell communication, as well as immune response and also used to generate diversity in nature due to inter and intracellular molecular interactions.^{1–3} Moreover, carbohydrates are utilised in storage of energy (e.g., starch and glycogen) to acting as structural components (e.g., cellulose in plants and chitin in arthropods). Due to large number of reactive hydroxyl groups with geometrical and structural diversity, carbohydrates easily participates in hydrogen bonding in the aqueous environment. Furthermore, presence of diverge functional groups such as an aldehyde (an aldose) or a ketone, amino, carboxyl, phospho and sulfo group increases the hydrophilicity of the carbohydrate residue. Owing to this inherent nature, carbohydrate molecules possess excellent amphiphilic properties in solvent medium. Surfactants are amphiphilic molecules containing both a hydrophilic

and a lipophilic moiety. Carbohydrate-based surfactants (CBS) are the most important class of amphiphilic compounds known as glycolipids.^{4,5} These compounds are combination of a carbohydrate hydrophilic part, which can be a mono- or oligosaccharide, and a hydrophobic unit, usually derived from a fatty acid. This dual functionality exhibits unique physico-chemical properties to form stable emulsions and micelles or liposomes i.e. formation of small or large self-assembled aggregates at the dipolar interface, either at the air/water, oil/water or solid/water.⁶ Carbohydrate surfactants are naturally biosynthesized within living cells so considered as natural surfactants,^{7,8} or can be easily synthesized from the most abundant carbohydrate sources viz. (cellulose, pectin, hemicellulose, starch, etc.) and fatty materials by utilizing high-yielding established sequential reactions.^{9,10} Essentially, CBS may be classified on the basis of presence of polar head group and a non-polar tail (amphiphilic structure) and the linkers between these two group. Using these three structural parameters, CBS/ glycolipids are classified in **Table 1**.¹¹

Table 1. Classification of Carbohydrate surfactant/ glycolipids based on the amphiphilic structure and geometry¹¹

Carbohydrate glycolipids	surfactant/	Hydrophilic group	Hydrophobic group	Linkers
Monocatenary	Bicatenary	One head group	One tail	Ester, thioester, amine, amide
Multicatenary			Two tail	
			Multi tail	
Glycoglycerolipids		Two head groups	Acylglycerol	Ether, amine, amide
Glycosphingolipids			Sphingoides	
Bolaforms			One spacer	
Geminis		Multi-head groups	One spacer/two tails	
Alkylpolyglucosides			One or multi-tails	

Due to numerous reactive hydroxyl groups, sugar molecules are excellent molecular architecture to study the surfactant mechanisms in modifying interfacial tension or viscosity properties. This controls the formation and the stability of colloidal systems such as micelles, vesicles, foams, emulsions, and suspensions. Therefore, carbohydrate derived surfactant has wide applications in different industries such as pharmaceutical, paints, pesticides, polymers, textiles, cosmetics, foods, cleaning detergents, oil, gas and mining etc. Moreover, these properties found useful in biology,¹² particularly for the extraction and purification of membranes proteins,¹³ molecular recognition in

glycobiology,¹⁴ and immunology.¹⁵ Moreover, carbohydrates are widely used bio-based moieties in formulation of surfactants, solvents, polymers etc. in the fields of synthesis, catalysis, biotechnology and analytical chemistry.^{16–18} In continuation of our research interest in synthesis and applications of carbohydrate and their hybrid molecules,¹⁹ this review highlights recent developments on carbohydrate-based surfactant (CBS) including the synthesis of simple *N*-glycoside, *O*-glycoside, sugar amines, and oligosaccharide based-surfactants that provide insight into their physicochemical aspects and applications.

2. Classification and Synthesis of Carbohydrate Based Surfactants

With the paradigm shift of industrial processes towards sustainability, investment on sustainable and safer chemicals like bio-based surfactants has been stressed upon by both the scientific community and authorities to minimize the hazards and risks. The factors that can be accounted for the use of bio-resources for surfactant preparation includes, (i) the natural amphiphilicity of certain biomolecules like carbohydrates and lipids, which is required to design a surfactant; and (ii) there's an economic advantage to use low-value agricultural wastes. A rigorous search for alternative to synthetic surfactants which is environmentally and industrially sustainable at the same time has ended with 'biosurfactants' produced from cheaper renewable feed stocks that is potentially endowed with all the physicochemical properties of its synthetic counterpart. Several families of biosurfactants such as alkyl polyglycosides (APG) or carbohydrate fatty acid esters are considered as "historical" ones due to the fact that they have been in use since time immemorial. Most of the industries manufacture surfactants based on polar moieties derived from polyethoxylated units (sodium lauryl ether sulphate, polyethoxylated fatty alcohols, ethoxylated sorbitan esters), ignoring the safety and toxicity concerns as well as their fossil origin. Thus, finding an alternative for hydrophilic moiety leads to carbohydrate based surfactants (CBS) extracted from renewable feedstock. Carbohydrates with their polyhydroxylated structure in combination with abundantly available fats (from renewable resources) suit to be an ideal replacement for their synthetic counterparts and a direct route for bio-based surfactant synthesis.²⁰⁻²² Carbohydrate-based-surfactants are of

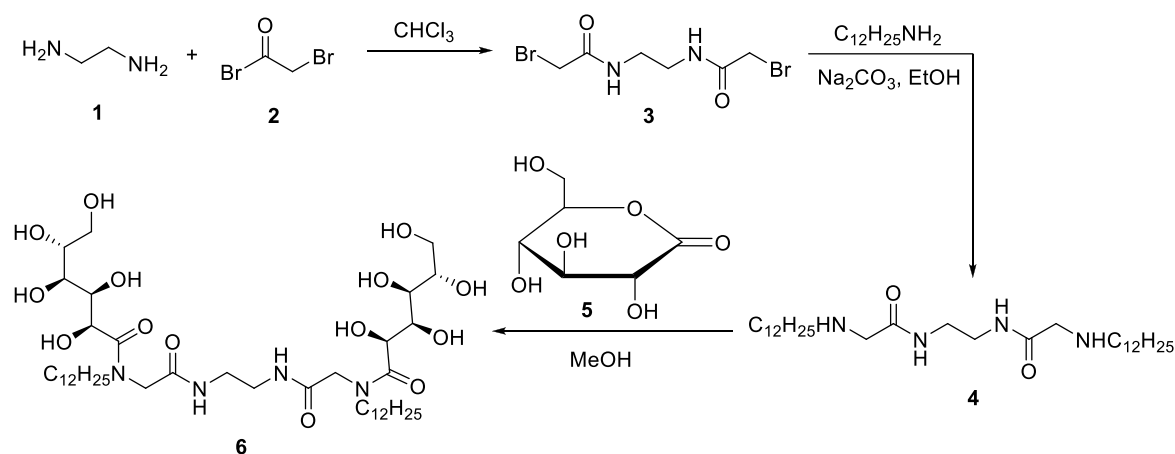
great interest because of they are naturally abundant, biocompatible, highly biodegradable, and not noxious for the environment, this makes them an excellent alternative to unmodified surfactants from petrochemical sources.²³⁻²⁴

3. Nitrogen-Containing Sugar Surfactants

3.1 Acylhydrazones

Wang and co-worker demonstrated the protocol for the synthesis of novel sugar-based Gemini surfactant containing *N, N'* (*N*-dodecyl-2-D-Glucosaminyl acetyl) ethylenediamine (Glu(12)-(AA)-Glu(12)) spacer.²⁵ The first intermediate *N, N'*-bis (2-bromoacetyl) ethylenediamine **3** was synthesized from ethylenediamine **1** and bromoacetyl bromide **2** in chloroform. The intermediate **3** on treatment with dodecylamine in presence of Na₂CO₃ in ethanol afforded second intermediate *N, N'*-bis (2-dodecanoacetyl) ethylenediamine **4** then to the final product *N, N'* (*N*-dodecyl-2-D-glucosaminyl acetyl) ethylenediamine (Glu(12)-(AA)-Glu(12)) compound **6** by refluxing it with D-(+)-Glucono-1, 5-lactone **5** in methanol (**Scheme 1**). The Critical micelle concentration (CMC) values of Glu(12)-(AA)-Glu(12) in surface tension determination at pH 4.0, 7.0 and 10.0 was at the 10⁻⁵ mol/L concentration level. In comparison with other Gemini surfactant containing similar hydrophilic spacers and same hydrophobic carbon chain length, this *N, N'* (*N*-dodecyl-2-D-glucosaminyl acetyl) ethylenediamine (Glu(12)-(AA)-Glu(12)) spacer exhibited higher surface activity. The protonation of tertiary amino groups in hydrophilic head group resulted in slight decrease in CMC value with decrease in pH of solution.

New series of sugar glycoside hydrazones were

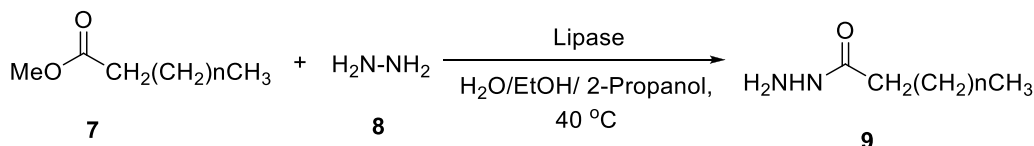


Scheme 1. Synthesis of novel Glu(12)-(AA)-Glu(12) Gemini surfactant

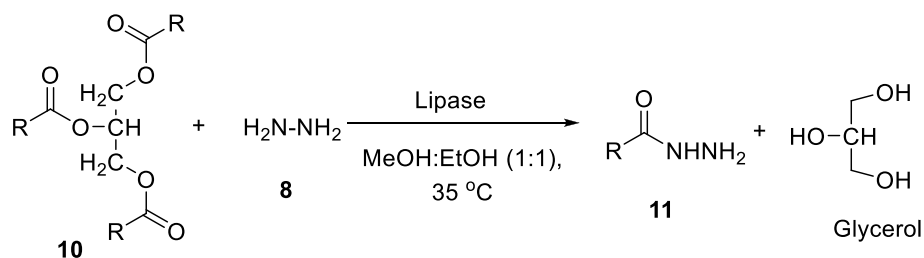
synthesized from commercially available fatty acid esters and vegetable oil by Carpenter and co-workers.²⁶ Initially, the acyl hydrazides **9** and **11** synthesized from fatty acid methyl ester **7** and vegetable oil triacylglycerides **10** respectively by using hydrazine and lipase enzyme (Scheme 2a and 2b). The different sugar molecule **12** treated with acetylacetone **13** in

presence of aq. NaHCO₃ as base afforded the intermediate C-glycoside ketone **14**. Finally, mono and polyunsaturated fatty acid hydrazides **16(a-j)** were synthesized successfully by utilizing this method via reacting intermediate **14** and acylhydrazine **15** by heating at 50 °C in 1:1 (MeOH:EtOH) (Scheme 3).²⁶

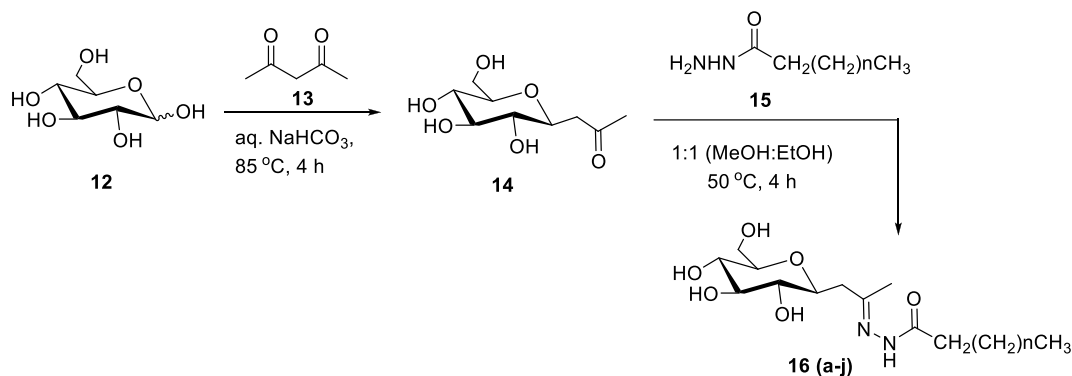
Xavier and co-worker reported the synthesis of



Scheme 2a. Synthesis of acylhydrazides from fatty acid methyl esters.²⁶



Scheme 2b. Synthesis of acylhydrazides from vegetable oil triacylglycerides.²⁶

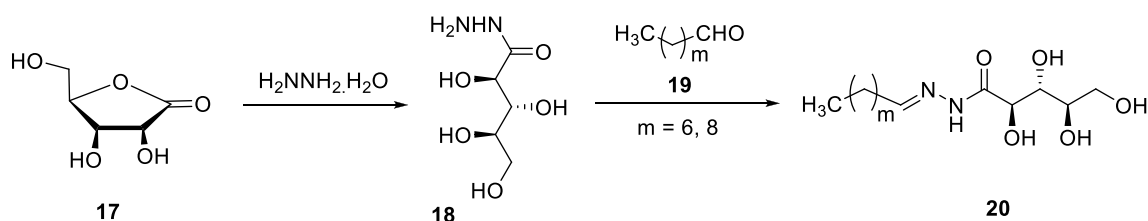


Carbohydrate (12)	Starting hydrazides(15)	Hydrazone products (16 a-j)
Glucose	Caprylic hydrazide	a) Glucose-C-glycoside caprylichydrazone
Xylose	Lauric hydrazide	b) Glucose-C-glycoside laurichydrazone
Rhamnose	Palmitic hydrazide	c) Glucose-C-glycoside palmitic hydrazone
Galacturonic acid	Stearic hydrazide	d) Glucose-C-glycoside stearic hydrazone
Lactose	Oleic hydrazide	e) Glucose-C-glycoside oleic hydrazone
		f) Glucose-C-glycoside linoleic hydrazone
		g) Xylose-C-glycoside laurichydrazone
		h) Rhamnose-C-glycoside laurichydrazone
		i) Galacturonic acid-C-glycoside laurichydrazone
		j) Lactose-C-glycoside laurichydrazone

Scheme 3. Synthesis of long chain C-glycoside ketohydrazones and fatty acid hydrazides.²⁶

amphiphilic acylhydrazone surfactant **20** from D-ribo-1,4-lactone **17**.²⁷ First, hydrazine hydrate treatment with D-ribo-1,4-lactone **17** afforded the

intermediate ribonohydrazide **18**, then treatment with octanal or decanal provided the desired amphiphilic acylhydrazone surfactant product **20** (Scheme 4).



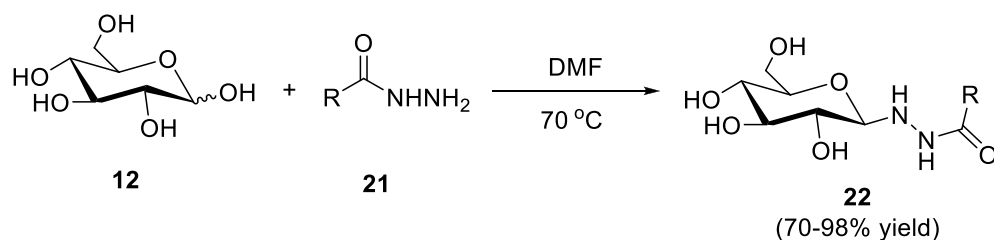
Scheme 4. Amphiphilic acylhydrazone synthesis via ring-opening of aldonolactones.²⁷

The synthesis of different amphiphilic 1-glycosyl-2-acylhydrazines series from readily available reducing sugar and hydrazides demonstrated by Auge and co-workers.²⁸ In present work, a series of glucosylhydrazide **22** synthesized from mono-saccharide sugar **12** and acylhydrazine **21** in DMF at 70°C without chromatographic step with good-to-excellent yield (Scheme 5).

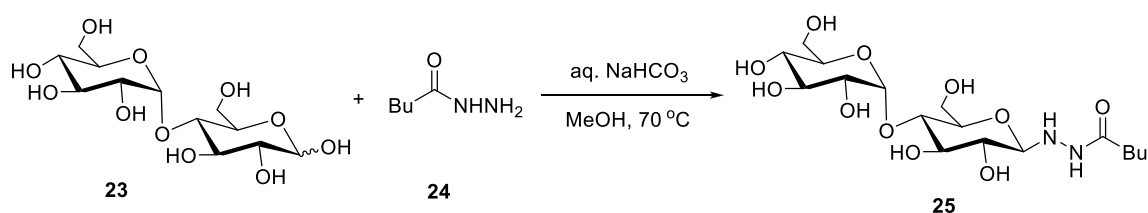
Similarly, the reaction used for the synthesis of 1-(β-maltosyl)-2-octanoylhydrazine **25** by reacting maltose and octanoylhydrazine using basic aqueous NaHCO₃

(Scheme 6). Synthesis of 1-maltosyl-2-octanoylhydrazine **25** is a typical disaccharide based surfactant with critical micellar concentration (CMC) range of 0.37 to 440 mM.²⁸

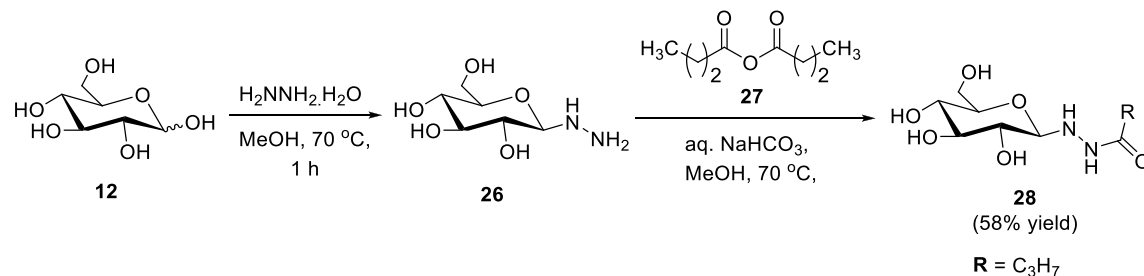
By using similar strategy, the product 1-(β-glucosyl)-2-butanoylhydrazine **28** (58% yield) obtained from intermediate glycosylhydrazine **26** and butyryl anhydride **27** by using aq. NaHCO₃ as base. The intermediate glycosylhydrazine **26** synthesized by the reaction of monosaccharide **12** and hydrazine hydrate in methanol at 70 °C (Scheme 7).



Scheme 5. Glucosyl hydrazide synthesis from monosaccharide sugar.²⁸



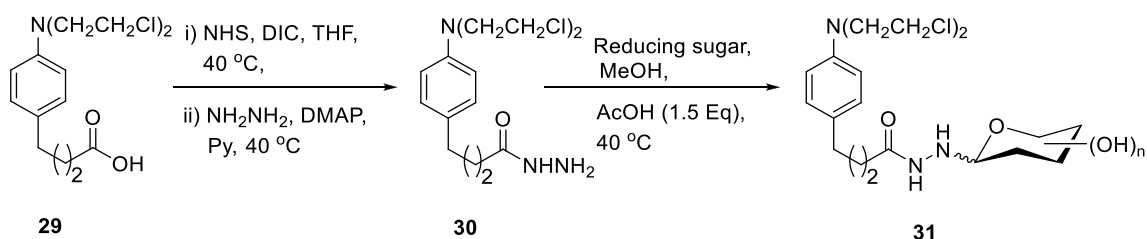
Scheme 6. Synthesis of 1-(β-maltosyl)-2- butanoyl hydrazine using maltose and butanoyl hydrazide.²⁸



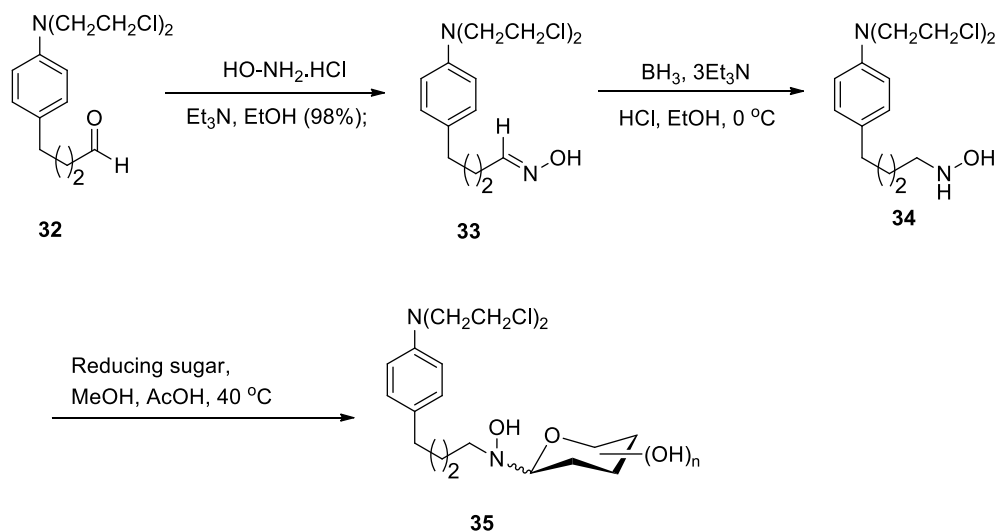
Scheme 7. Synthesis of 1-(β-glucosyl)-2-butanoylhydrazine from glycosylhydrazine **26** and butyryl anhydride **27**.²⁸

Goff and co-workers synthesized new chlorambucil *N*-acylhydrazine-based compound **31** by chemoselective Neoglycosylation method.²⁹ First, the intermediate 4-(4-*N'*, *N'*-bis(2-chloroethyl)amino)phenyl butanoic hydrazide **30** prepared from chlorambucil **29** by treatment of *N*-hydroxysuccinimide and DIC in THF at 40 °C for 6 h and then treatment with hydrazine,

pyridine and DMAP led to the formation of the intermediate 4-(4-*N'*, *N'*-bis(2-chloroethyl)amino)phenyl butanoic hydrazide **30**. Further, in a dram vial, aglycon compound **30** reacted with reducing sugar in presence of acetic acid in methanol furnished the crude neoglycosides **31** (**Scheme 8**).



Scheme 8. Chemoselective synthesis of neoglycosides from chlorambucil



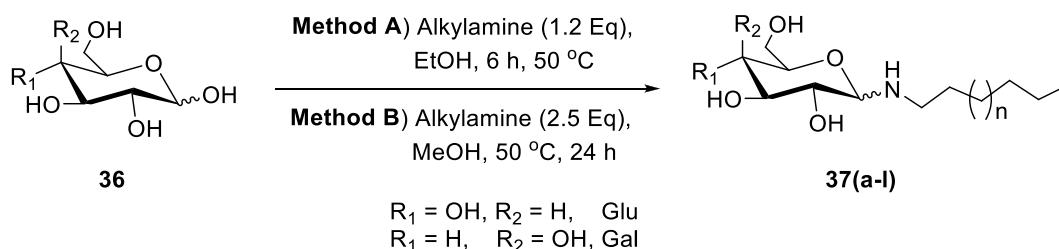
Scheme 9. Synthesis of *N*-glycosylamine compound **35**

3.2 Glycosylamines

Goff and co-workers synthesized new *N*-glycosylamine compound **35** using aldehyde **32** (Scheme 9).²⁹ Initially, intermediate oxime derivative **33** obtained by refluxing aldehyde **32** and hydroxylamine hydrochloride in ethanol using triethylamine base. Further, reduction of the intermediate oxime **33** with $\text{BH}_3 \cdot \text{Et}_3\text{N}$ and HCl in ethanol afforded the second intermediate **34**. Finally,

the *N*-glycosylamine product **35** obtained from the intermediate **34** by treating with reducing sugar in ethanol using catalytic acetic acid (Scheme 9).

Neto *et al.*, synthesized series of *N*-alkylglucosamines and *N*-alkylgalactosamines **37 (a-l)** using different alkylamines via **method A** and **method B** (Scheme 10).³⁰ Interestingly, reaction was completed with 1.5 equivalents of alkylamine in 6 hrs in ethanol (**method A**) and with 2.5 equivalents of alkylamine in 24 hrs in methanol (**method B**), respectively.



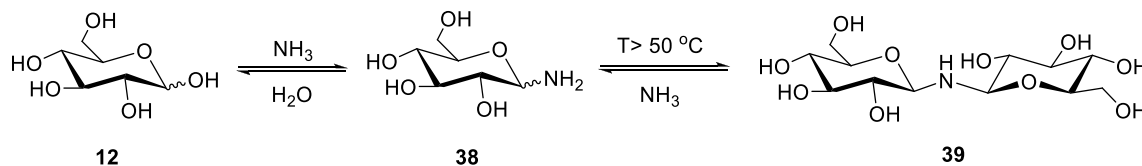
Scheme 10. Synthesis of *N*-alkylglucosamines and *N*-alkylgalactosamines

Table 2. Synthesis of *N*-alkylglucosamines and *N*-alkylgalactosamines by **Method A** or **B**

Alkyl amines	<i>N</i> -alkylglucosamines	%Yield (Method)	<i>N</i> -alkylgalactosamines	%Yield (Method)
Hexyl	C ₆ Glu (a)	86 (B)	C ₆ Gal (g)	76 (B)
Octyl	C ₈ Glu (b)	60 (B)	C ₈ Gal (h)	48 (B)
Decyl	C ₁₀ Glu (c)	83 (B)	C ₁₀ Gal (i)	83 (B)
Dodecyl	C ₁₂ Glu (d)	86 (A)	C ₁₂ Gal (j)	77 (A)
Hexadecyl	C ₁₆ Glu (e)	72 (B)	C ₁₆ Gal (k)	76 (B)
Octadecyl	C ₁₈ Glu (f)	96 (B)	C ₁₈ Gal (l)	92 (B)

Similar synthetic strategies were used to synthesize *N*-alkylglycosylamines with long chain amines in ethanol and water at room temperature by Lockhoff and co-workers in 1998³¹ and El-Ghoul and co-workers in 1996.³² However, the completion time varies from 24 hrs

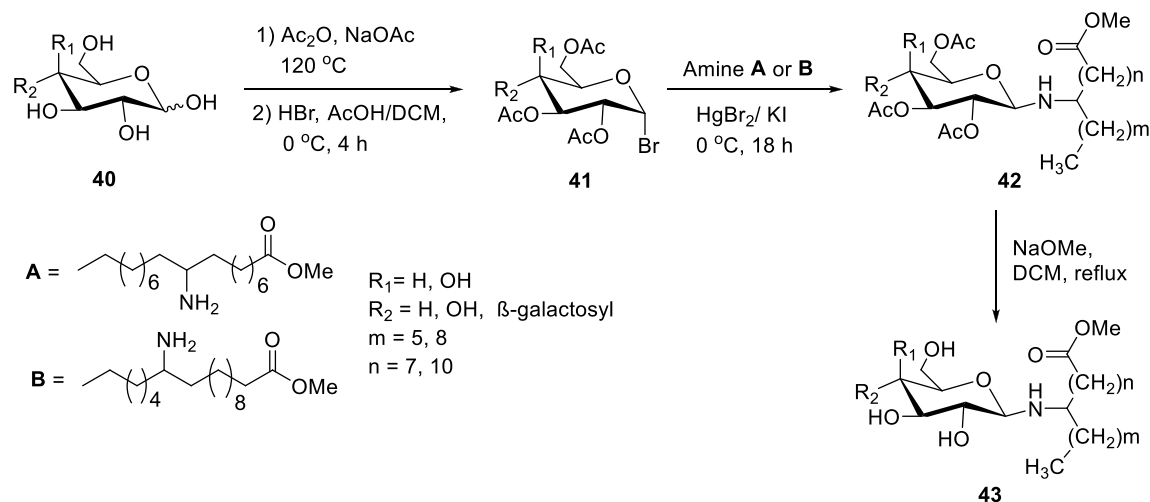
to several days. Lubineau and co-workers demonstrated another protocol in which glycosylamines **39** were synthesized by the treatment of carbohydrates **12** with aqueous ammonia or primary amines (Scheme 11).³³



Scheme 11. Synthesis of glycosylamines **39** by using aqueous ammonia

Synthesis of methyl 12-glucosylamino octadecanoate **43** from different sugars like galactose, glucose, lactose and maltose and methyl 9-(**A**) or methyl 12-aminooctadecanoate (**B**) reported by Bogaert and co-workers.³⁴ The first intermediate **41** was obtained from by reacting sugar **40** with Ac₂O in the presence of NaOAc and followed by selective bromination by HBr

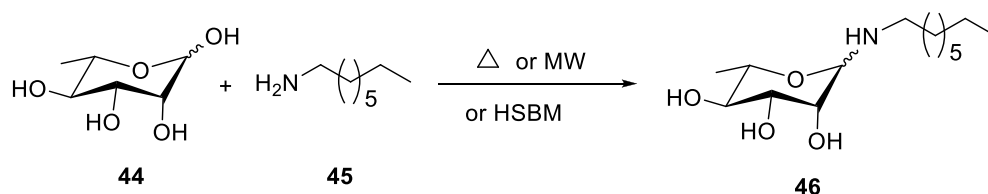
in acetic acid. Subsequently, the intermediate **41** treated with amine **A** and **B** with HgBr₂ and KI gave the glucosylamino product **42**. Finally, the desired product 12-glucosylamino octadecanoate **43** was obtained by hydrolysis using NaOMe in DCM (**Scheme 12**). The physicochemical properties of these surfactants were found to depend on the alkyl chain length.



Scheme 12. Synthesis of methyl 12-glucosylaminooctadecanoate by multi-step process

Further, Lingome and co-workers first put forward the synthesis of octylrhannosyl-amine **46** from rhamnose **44** and octylamine **45** by green approach

under solvent-free and mechanical milling assisted conditions (**Scheme 13**).³⁵

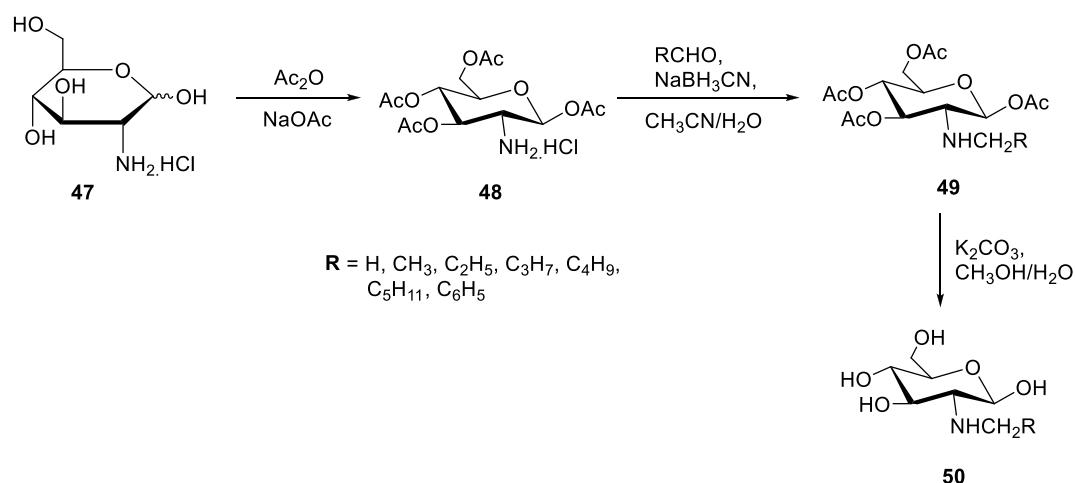


Scheme 13. Mechanical milling assisted solventless synthesis of octylrhannosyl-amine

3.3 Glucosamines

The reductive alkylation of per-*O*-acetyl-D-glucosamine to mono and di-*N*-alkylated derivatives of 1,3,4,6-tetra-*O*-acetyl-2-amino-2-deoxy- β -D-glucose **50** demonstrated by Liberek and co-workers.³⁶ The acetylation of 2-amino-2-deoxy-D-glucose hydrochloride **47** with Ac₂O/ NaOAc afforded the 1,3,4,6-tetra-*O*-acetyl-2-amino-2-deoxy-D-glucose

hydrochloride **48**. The reductive alkylation using excess of NaCNBH₃ and appropriate aldehyde led to formation of the product **49**. By this method, mixtures of mono and di alkylated products obtained except valeric and benzaldehyde which afforded only mono-alkylated glucosamino products. Further, hydrolysis using K₂CO₃ in methanol/water furnished 1,3,4,6-tetra-*O*-acetyl-2-amino-2-deoxy- β -D-glucose **50** (**Scheme 14**).³⁶

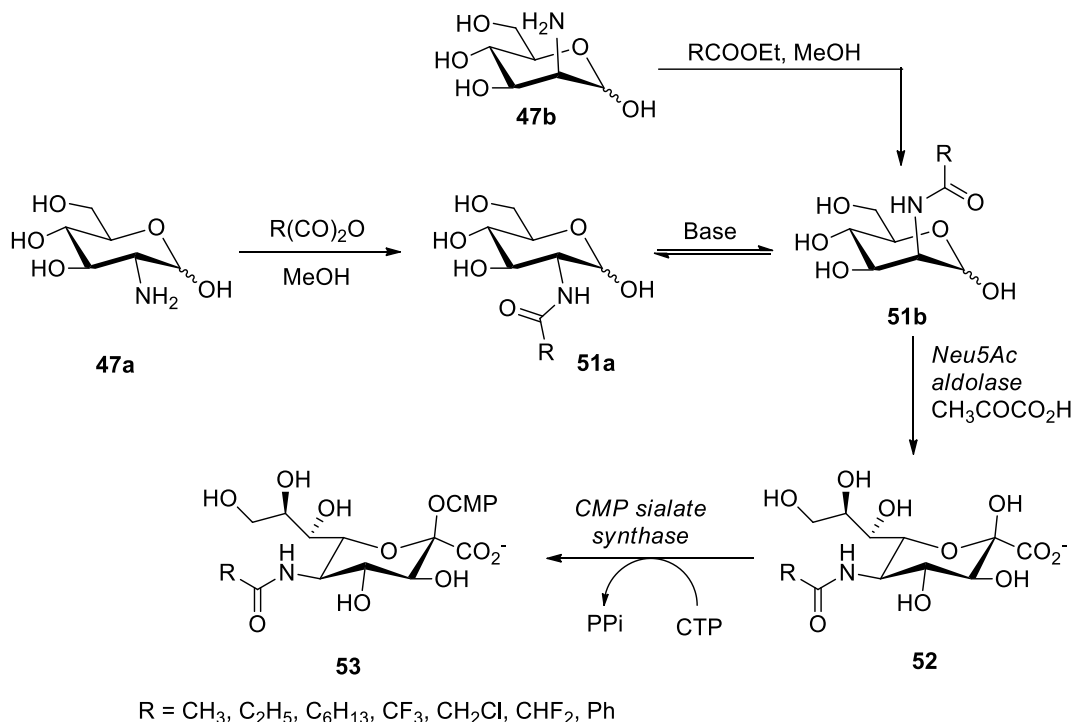


Scheme 14. Synthesis of *N*-Alkyl derivatives of 2-amino-2-deoxy-D-glucose

Humphrey and co-workers achieved the synthesis of *N*-acyl and *N*-haloacetylneuraminic acids by using D-glucosamine **47a** or D-mannosamine **47b** and immobilised sialic acid aldolase (**Scheme 14**).³⁷ A supersaturated solution of free base and appropriate anhydride was used for the acylation of D-glucosamine **47a** to afford the intermediate **51a**. It was observed that in alkaline condition *N*-acyl-glucosamines **51a** epimerised into *N*-acylmannosamine **51b**. Further, *N*-

acyl-D-neuraminic acid **52** was obtained from *N*-acylmannosamine **51b** by treatment with *Neu5Ac aldolase* enzyme in the presence of 2-oxopropanoic acid. Subsequently, reaction of *N*-acyl-D-neuraminic acid **52** with *CMP sialate synthase* enzyme afforded the final product *CMP-N*-acyl-D-neuraminic acid **53** (**Scheme 15**).³⁷

Acylation of glucosamines **47** with acyl chloride **54** in methanol afforded the *N*-acyl glucosamine surfactant



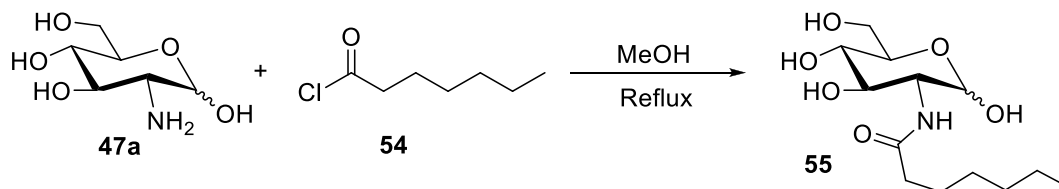
Scheme 15. Chemo-enzymatic method for the synthesis of *N*-acyl-D-neuraminic acid **52** and *CMP-N*-acyl-D-neuraminic acid **53**.

product **55** (Scheme 16).^{37,38}

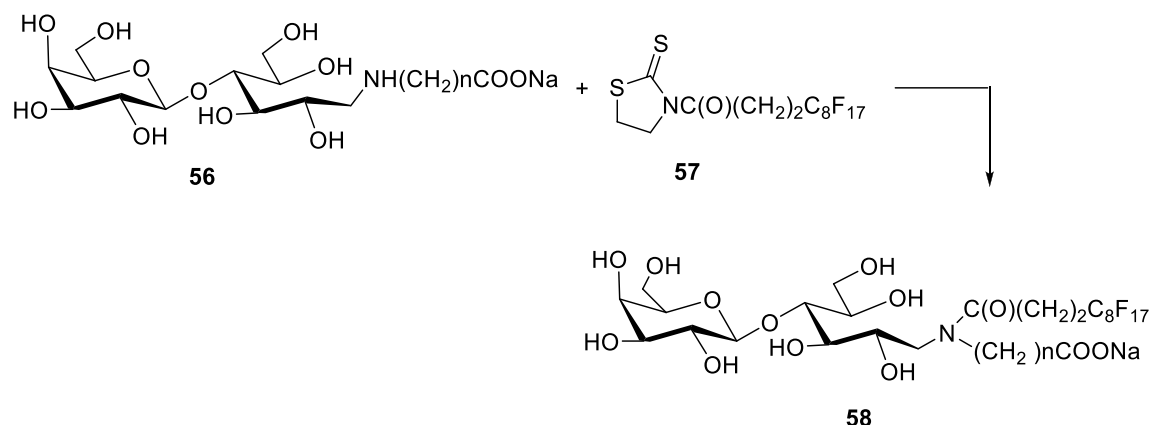
3.4 *N*-(Fluorinated Alkyl)-Surfactants

In recent years, investigation of carbohydrate based

fluorosurfactant (class of one or more perfluoroalkylated hydrophobic chain) has gained much more interest.³⁹ In this, Zarif and co-worker synthesized the fluorinated compound **58** by the treatment of 1-amino-



Scheme 16. Synthesis of *N*-heptanoyl glucosamine using acyl chloride **54**.

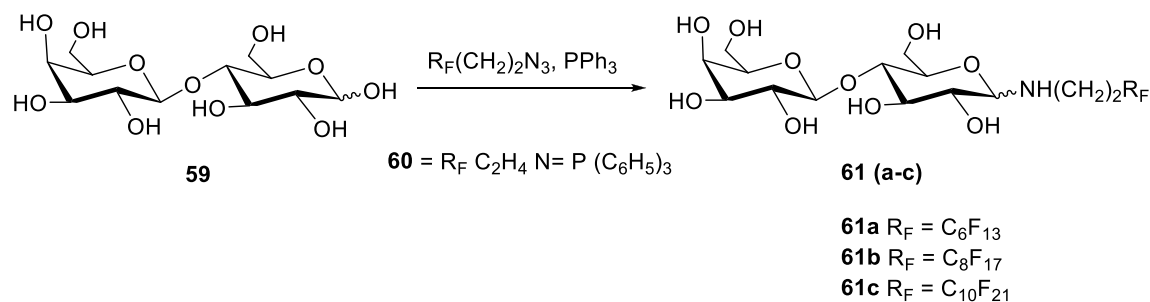


Scheme 17. Fluorination of 1-amino-1-deoxy-lactitol **56** by fluorinating agent **57**.

1-deoxy-lactitol **56** with the fluorinating agent **57** with moderate yield (Scheme 17).⁴⁰

El-Ghoul and co-workers demonstrated the synthesis of *N*-[*Z*-(*F*-alkyl)ethyl]-lactosylamines **61(a-c)** by using iminophosphorane intermediate **60**

[$R_F C_2 H_4 N = P(C_6 H_5)_3$] as fluorinating agent which was generated by reaction of the fluoroalkylazide with triphenyl phosphine.^{41,42} Lactose sugar **59** on treatment with iminophosphorane fetched different *N*-[*Z*-(*F*-alkyl)ethyl]-lactosylamines **61(a-c)** with significant



Scheme 18. Synthesis of *N*-[*Z*-(*F*-alkyl)ethyl]-lactosylamines.

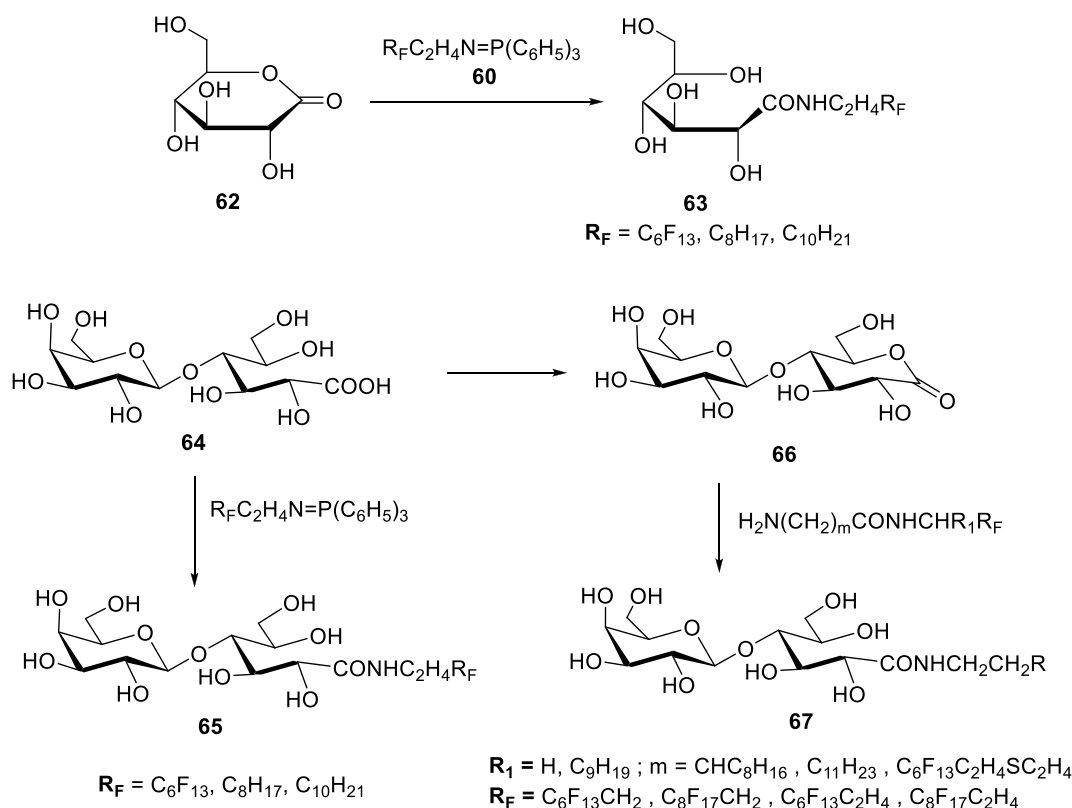
yield (**Scheme 18**).

In continuation of this, Rico-Lattes and co-worker explored the applications of iminophosphorane intermediate **60** [$R_F C_2 H_4 N=P(C_6 H_5)_3$] for the synthesis of *N*-[2-(*F*-alkyl)ethyl]-lactosylamines **63** as well as *N*-[2-(*F*-alkyl)ethyl]-lactobionamides **65** and **67** by using different fluorinating agents.^{28,29} The easily available aza-Wittig product **61** [$R_F C_2 H_4 N=P(C_6 H_5)_3$] on treatment with D-gluconolactone **62** afforded the product *F*-alkyl-D-gluconamides **63**. Lactobionic acid **64** on aza-Wittig reaction led to formation of *F*-alkyl-D-lactobionamide **65** product. Further, reaction of 1,5-bionolactone derivative **66** with amine under basic

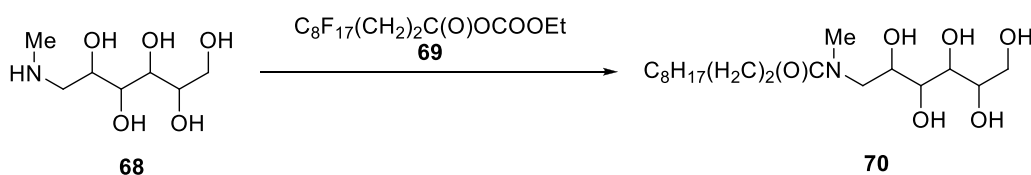
condition generated the product *F*-alkyl-D-lactobionamide **67** as sole product (**Scheme 19**). The surface tension of Lactobionic derivatives at CMC value (~ 0.1 mM) was not good candidate for surfactants.⁴³

Reiss and co-worker demonstrated another protocol in which new acyl fluorinating agent **69** used to synthesize *N*-[3-(*F*-octyl)propanoyl]-*N*-methyl-D-glucamide **70** from *N*-methyl-D-glucamine **68** (**Scheme 20**).⁴⁴

Trabelsi and co-workers attempted the synthesis of *F*-alkylated sugar carbamates **73** from monosaccharide D-Glucose **71** and fluorinated isocyanate **72** using DABCO catalyst. In this protocol, another fluorinated

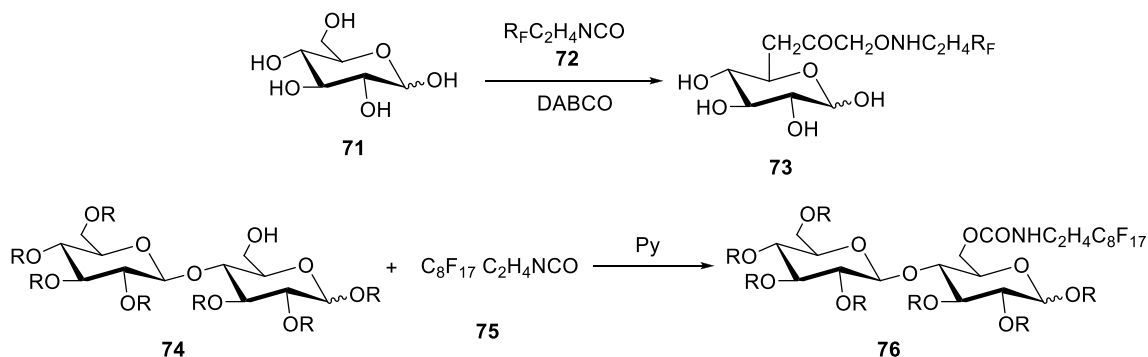


Scheme 19. Synthesis of various *F*-alkyl amide derivatives.



Scheme 20. Synthesis of *N*-[3-(*F*-octyl)propanoyl]-*N*-methyl-D-glucamide **70** by using acyl fluorinating agent **69**

isocyanate **75** used to synthesize fluorinated disaccharide **76** from disaccharide **74** in pyridine (Scheme 21).⁴⁵



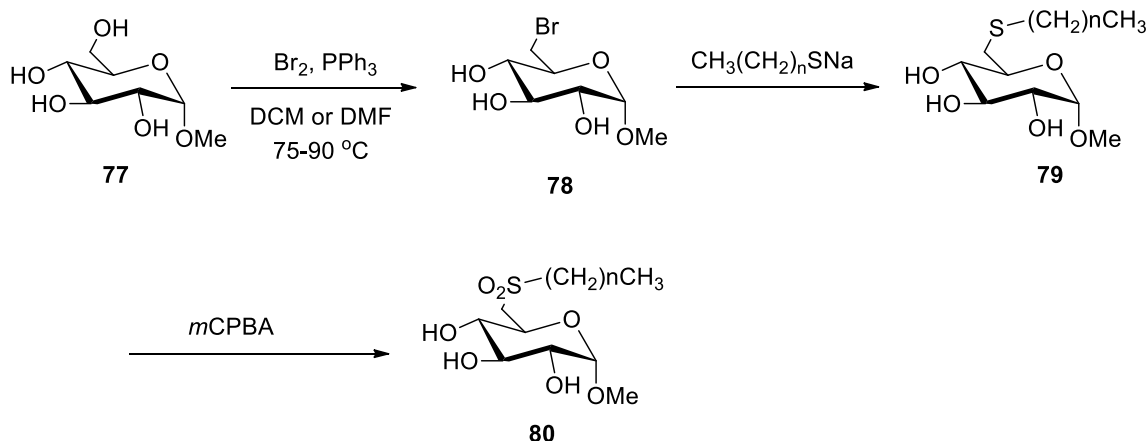
Scheme 21. Applications of fluorinated isocyanates for fluorination reaction.

3.5 Ethers

3.5.1 Thioethers

Synthesis of 6-alkylsulfonyl-6-deoxy glycoside **80** demonstrated by Lubineau and co-workers.⁴⁶ Reaction of methyl α -D-glycoside with Br_2/PPh_3 in

dichloromethane furnished the intermediate sugar **78**, which on treatment with sodium thiooxide gave the 6-alkylthio- glycoside **79**. Finally, oxidation with *m*CPBA afforded the desired product **80** without protection of the secondary hydroxyl groups (Scheme 22).



Scheme 22. Synthesis of 6-alkylthio- glycoside **79** and 6-alkylsulfonyl-6-deoxy glycoside **80**.

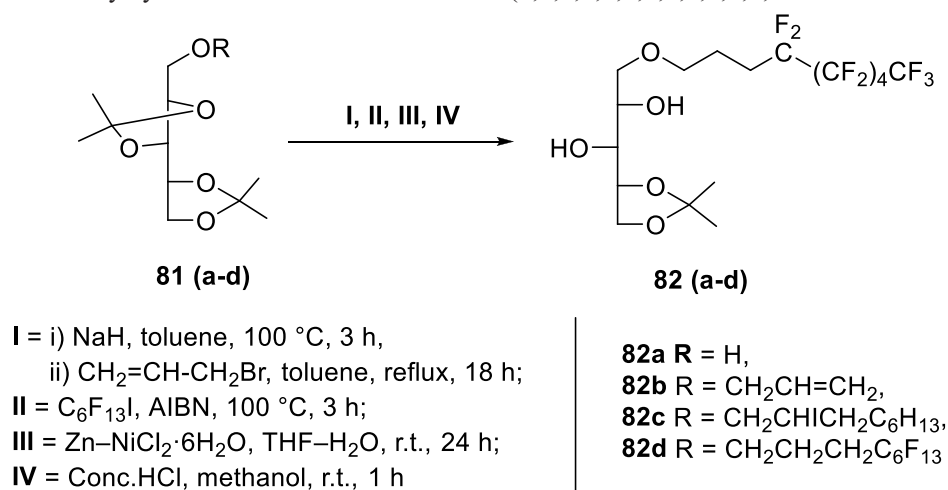
3.5.2 Fluorinated *O*-alkyl glycosides

Two main strategies are generally used for the synthesis of various fluoro-compounds. First, linear synthesis, in which glycosides synthesized from hydrocarbon chain containing a double bond with F-

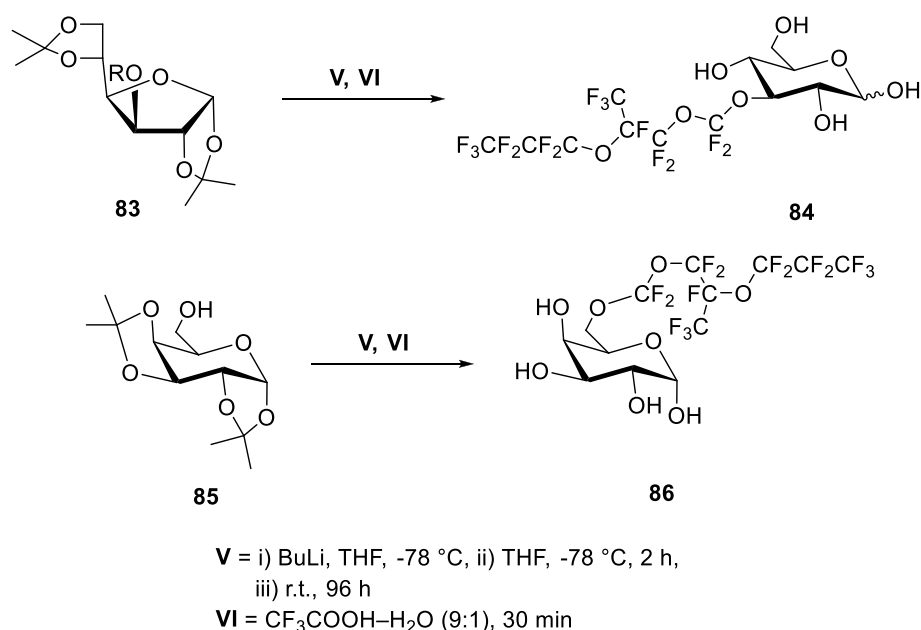
alkyl chain. Second, convergent synthesis, which involve F-alkyl chain preparation as initial step and glycosylation as final step. A spacer needed in between fluorinated alkyl chain and sugar head of F-alkyl glycosides for its stability.⁴⁷ Linear syntheses were demonstrated in various reports.⁴⁸⁻⁵⁰

The linear synthesis also well demonstrated by Paleta and co-worker in which synthesis of 1-*O*-(4,4,5,5,6,6,7,7,8,8,9,9,9-tridecafluorononyl)xylitol **82 (a-d)** derivatives from diacetone xylitol **81** mentioned (Scheme 23).⁵¹ Initially, treatment of diacetone xylitol **81** with NaH in toluene and followed addition of allyl bromide under cool condition afford intermediate 1-*O*-allylxylitol as colourless liquid with 69% yield. This intermediate 1-*O*-allylxylitol on radical addition with

perfluorohexyl iodide and AIBN afford the second intermediate 2,3:4,5-Di-*O*-isopropylidene-1-*O*-(4,4,5,5,6,6,7,7,8,8,9,9,9-tridecafluorononyl)xylitol. Reduction of third intermediate with Zinc/Ni(II) chloride hexahydrate under inert atmosphere condition and THF solvent gives third intermediate 2,3:4,5-di-*O*-isopropylidene-1-*O*-(4,4,5,5,6,6,7,7,8,8,9,9,9-tridecafluorononyl)-xylitol. The final product 1-*O*-(4,4,5,5,6,6,7,7,8,8,9,9,9-tridecafluorononyl)-xylitol



Scheme 23. Stepwise synthesis of 1-*O*-(4,4,5,5,6,6,7,7,8,8,9,9,9-tridecafluorononyl)-xylitol



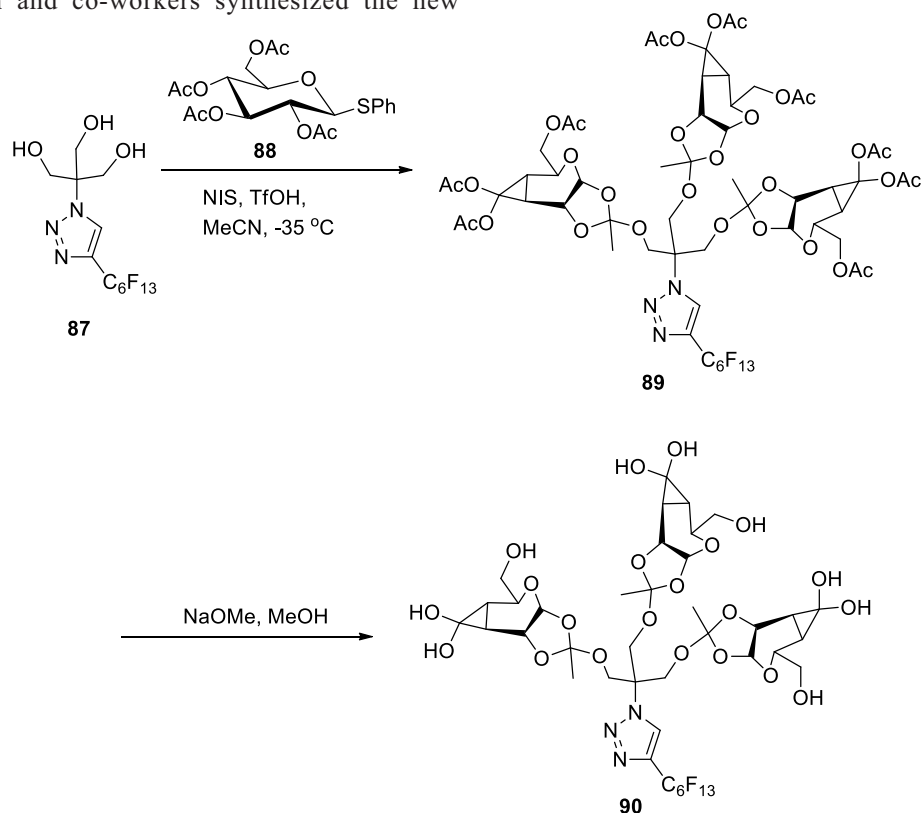
Scheme 24. Synthesis of 3-*O*-(1,1,2,4,4,5,7,7,8,8,9,9,9-tridecafluoro-5-trifluoromethyl-3,6-dioxanon-1-yl)-D-glucopyranose **84** and 6-*O*-(1,1,2,4,4,5,7,7,8,8,9,9,9-tridecafluoro-5-trifluoromethyl-3,6-dioxanon-1-yl)-D-galactopyranose **86**

82(a-d) obtained from third intermediate by treating it with conc. HCl in methanol (**Scheme 23**).

The synthesis of 3-*O*-(1,1,2,4,4,5,7,7,8,8,9,9,9-tridecafluoro-5-trifluoromethyl-3,6-dioxanon-1-yl)-D-glucopyranose **84** and 6-*O*-(1,1,2,4,4,5,7,7,8,8,9,9,9-tridecafluoro-5-trifluoromethyl-3,6-dioxanon-1-yl)-D-galactopyranose **86** from fluoroalkylatedglucofuranose **83** and fluoroalkylated-D-galactopyranose **85** respectively were also explored in the present protocol (**Scheme 24**). The treatment of compound 1,2:5,6-di-*O*-isopropylidene-D-glucofuranose **83** with butyl-lithium under nitrogen atmosphere and in dry THF condition gives intermediate product at room temperature. This intermediate on stirring with trifluoroacetic acid for 30 min. at room temperature afforded the product **84**. In similar way, the product **86** obtained from compound **85** (**Scheme 24**).⁵¹

Razgulin and co-workers synthesized the new

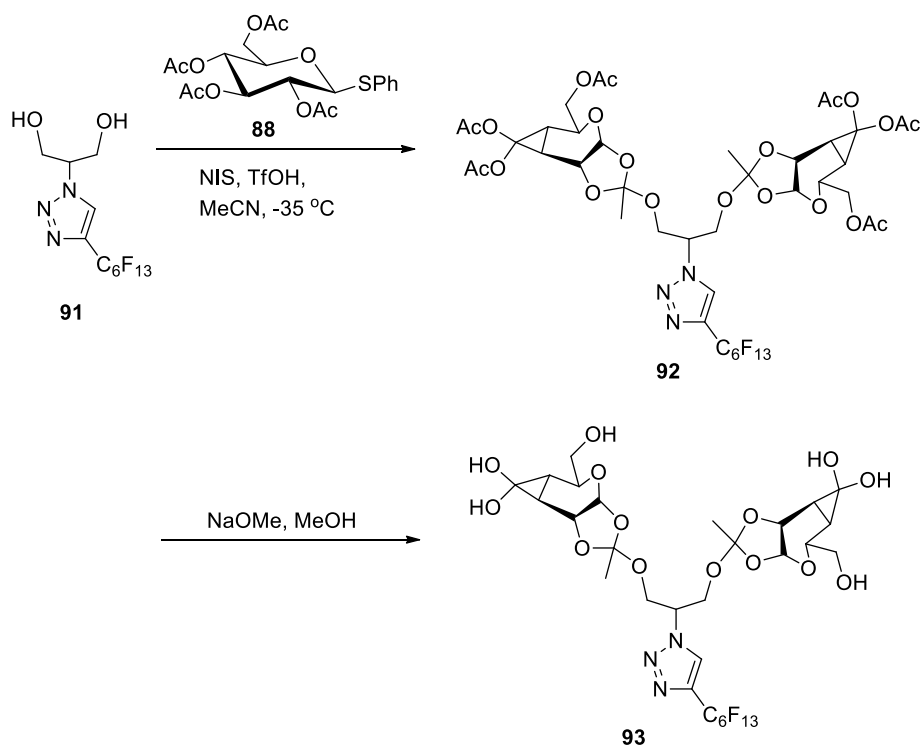
surfactants containing two and three monosaccharide-based heads with a perfluoroalkyl tail.⁵² The treatment of *N*-iodosuccinimide, triflic acid and thiophenol-2,3,4,6-tetra-*O*-acetyl- β -D-glucoside **88** with 2-(hydroxymethyl)-2-(4-(perfluorohexyl)-1*H*-1,2,3-triazol-1-yl)propane-1,3-diol **87** affords the tri-orthoglycosylated compound {1-[1,3-bis (3,4,6-tri-*O*-acetyl-D-glucopyranos-1,2-*O*-(1-ethyliden-1-yloxy)-2-((3,4,6-tri-*O*-acetyl-D-glucopyranos-1,2-*O*-(1-ethyliden-1-yloxy)methyl)propan-2-yl]-4-perfluorohexyl-1*H*-1,2,3-triazole} **89**. This tri-orthoglycosyl compound **89** gets deprotected under NaOMe/MeOH condition and affording product 1-[1,3-bis(D-glucopyranos-1,2-*O*-(1-ethyliden-1-yloxy)-2-((3,4,6-tri-*O*-acetyl-D-glucopyranos-1,2-*O*-(1-ethyliden-1-yloxy)methyl)propan-2-yl]-4-perfluorohexyl-1*H*-1,2,3-triazole **90** (**Scheme 25**).⁵²



Scheme 25. Synthesis of three monosaccharide-based head and a perfluoroalkyl tail.

In similar approach, fluoroalkyl diol **91** fetch the product 1-(1,3-bis[D-glucopyranos-1,2-*O*-(1-ethyliden-1-yloxy)]propan-2-yl)-4-perfluorohexyl-

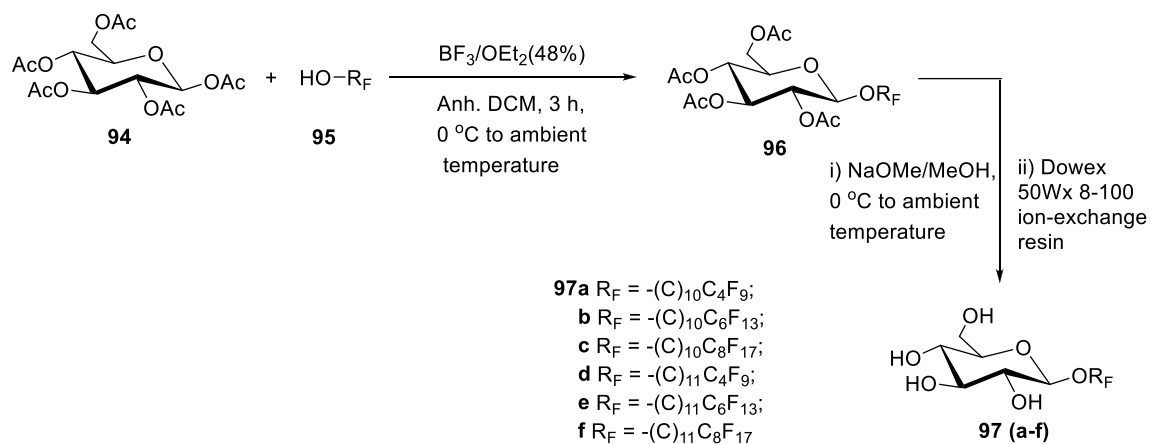
1*H*-1,2,3-triazole **92** (**Scheme 26**) via sequential glycosylation followed by deprotection reaction like **Scheme 25**.⁵²



Scheme 26. Synthesis of compound **93** containing two monosaccharide-based head and a perfluoroalkyl tail

Li and co-workers reported the synthesis of new series of fluorinated glucopyranosides **97 (a-f)** with **92** to 98% yield.⁵³ The treatment of fluorinated alcohol **95** with peracetylated- β -D-glucopyranose **94** in presence of Lewis acid $\text{BF}_3/\text{Et}_2\text{O}$ or ZnCl_2 in anhydrous DCM at ambient temperature afford (fluoro)alkylated per-

acetylated glucopyranoside **96** with 40-55% yield. Deacetylation of **96** using NaOMe in methanol and followed neutralization with Dowex 50W \times 8-100 ion exchange resin afford fluorinated glucopyranose **97 (a-f)** (**Scheme 27**).

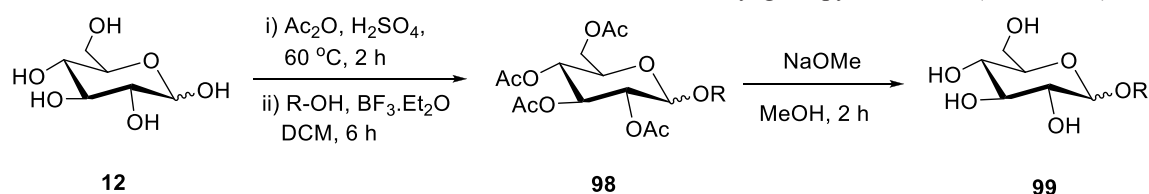


Scheme 27. Synthesis of perfluoroalkyl- β -D-glucopyranosides.

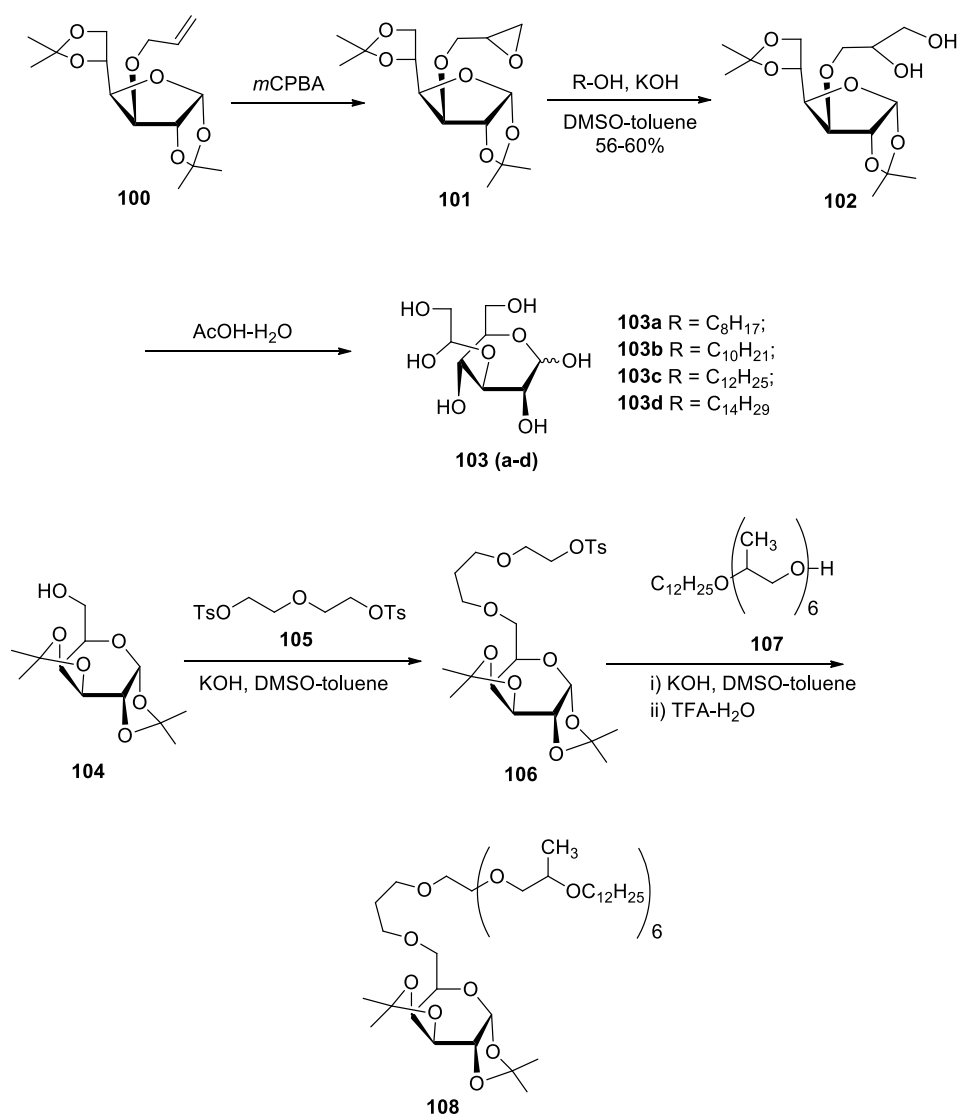
3.6 *O*-Alkylglycosides

In 1893, Fischer first time reported the preparation of non-ionic alkyl glycoside surfactant β -hexadecyl-D-glycoside.⁵⁴ The first step involves protection of D-

Glucose by using $\text{Ac}_2\text{O}/\text{H}_2\text{SO}_4$ which subsequently converted to peracetylated- β -D-glucopyranoside **98** with the help of Lewis acid $\text{BF}_3/\text{Et}_2\text{O}$ in anhydrous DCM. The deprotection of **98** using NaOMe/MeOH leads to *O*-alkyl glucopyranoside **99** (Scheme 28).⁵⁵⁻⁵⁷



Scheme 28. Synthesis of *O*-alkyl glucopyranoside **99** via protection and deprotection method

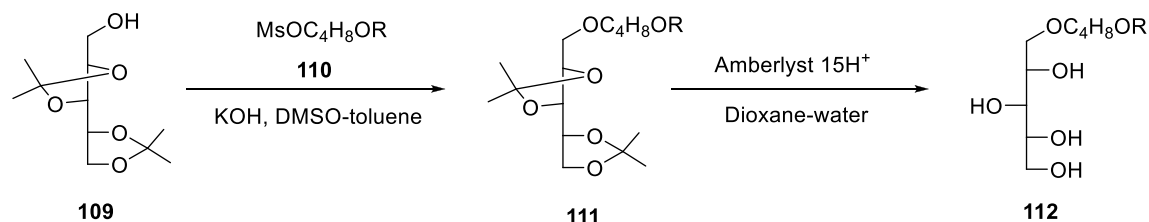


Scheme 29. Synthesis of glucidoamphiphile compounds from D-glucose and D-galactose

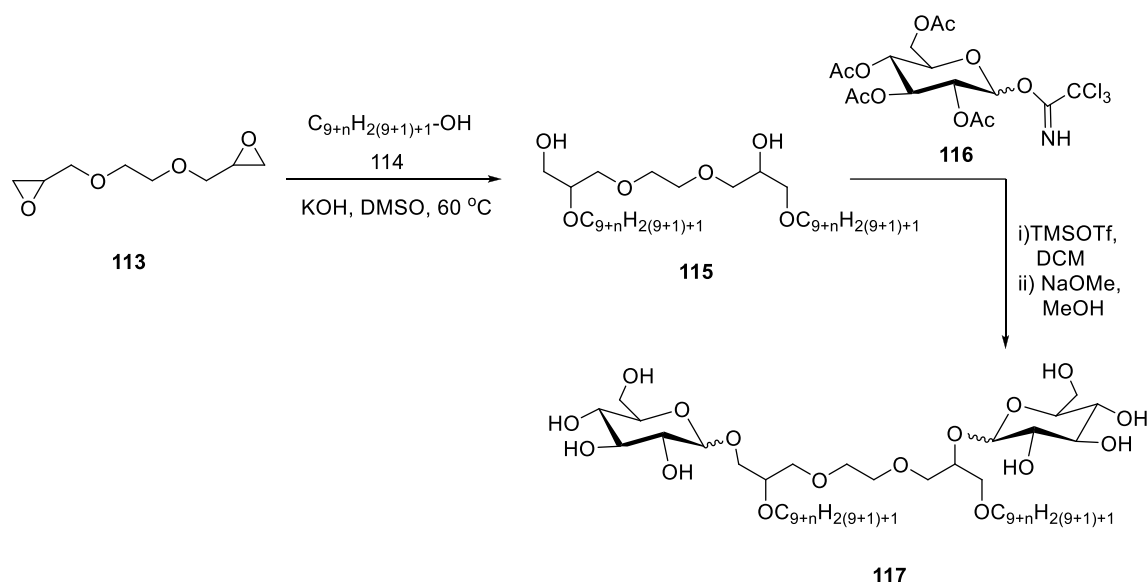
New glucidoamphiphile compound containing hydrophobic chain, glucidic moiety and spacer arm between them was reported by Goethals and co-worker.⁵⁸ To prepare these compounds, D-glucose, D-galactose and xylitol were used as starting materials. Epoxidation on allyl compound **100** affords the product **101** which on treatment with alcohol and KOH leads to the intermediate product **102**. Deprotection of intermediate **102** with AcOH-H₂O gives derivatives **103 (a-d)** (Scheme 29). The protected D-galactose **104** on treatment with KOH and oxybis(ethane-2,1-diyl)bis(4-methylbenzenesulfonate) **105** in DMSO afford the intermediate **106**. The product 6-*O*-[2-*O*-*n*-dodecylpoly-(α -propyleneglycol)-diethyleneglycol]- α -D-galactopyranose **108** obtained from intermediate **106** by condensation with 2-(dodecyloxy)propan-1-ol in presence of KOH base and DMSO-toluene solvent followed deprotection by trifluoroacetic acid in water (Scheme 29).

The third glucidoamphiphile compound (1-*O*-(4-*O*-Alkyl-butyleneglycol-1-yl)-D, L-xylitol) **112** was prepared from starting protected xylitol **109** (Scheme 30). In this compound **109**, xylitol moiety and alkyl chain are separated by butylene glycol spacer arm. The monoalkylatedbusulfan **110** on condensation with 2,3:4,5-di-*O*-isopropylidene-D,L-xylitol **109** in KOH base and DMSO-toluene solvent afford the intermediate **111** with 65% yield. The deprotection of intermediate **111** with Amberlyst resin 15H⁺ in dioxane-water gives product **112**.⁵⁸

Liu and co-worker developed a novel, highly effective gemini alkyl *O*-glucosides **117** (Scheme 31).³⁹ Regioselective ring opening of ethylene glycol epoxide by alkyl alcohols leads to the formation of gemini alkyl chain compound **115**. The compound (2*R*,3*R*,4*S*,5*R*)-2-(acetoxymethyl)-6-(2,2,2-trichloro-1-iminoethoxy)tetrahydro-2*H*-pyran-3,4,5-triyl triacetate **116** on glycosylation with gemini alkyl chain **115** under



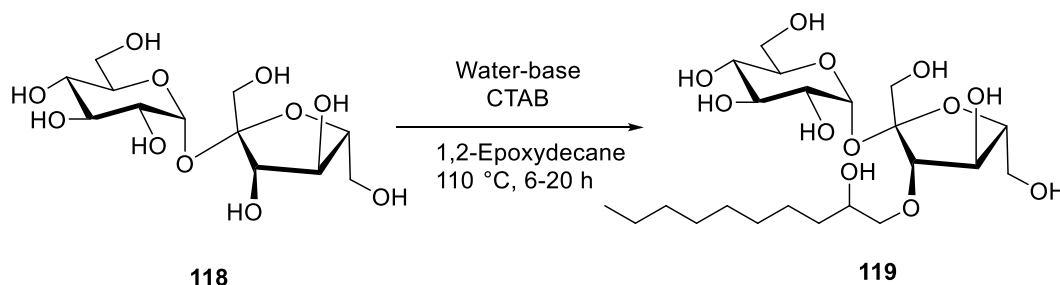
Scheme 30. Synthesis of 1-*O*-(4-*O*-Alkyl-butyleneglycol-1-yl)-D,L-xylitol from 2,3:4,5-di-*O*-isopropylidene-D,L-xylitol



Scheme 31. Synthesis of novel gemini alkyl *O*-glucoside surfactant **117**

TMSOTf and DCM afford the second intermediate which on deprotection by NaOMe/MeOH afford product gemini alkyl *O*-glucoside surfactant **117** (Scheme 31). Significantly better surface activity was shown by these new gemini alkyl *O*-glucosides than known results.⁵⁹

Synthesis of amphiphilic sucrose hydroxyalkyl ether **119** from unprotected sugar **118** reported by Gagnaire and co-workers.⁶⁰ The sugar **118** on treatment with 1,2-epoxydecane in presence of cetyltrimethylammonium bromide (CTAB) as base and water afford amphiphilic sucrose hydroxyalkyl ether **119** (Scheme 32).

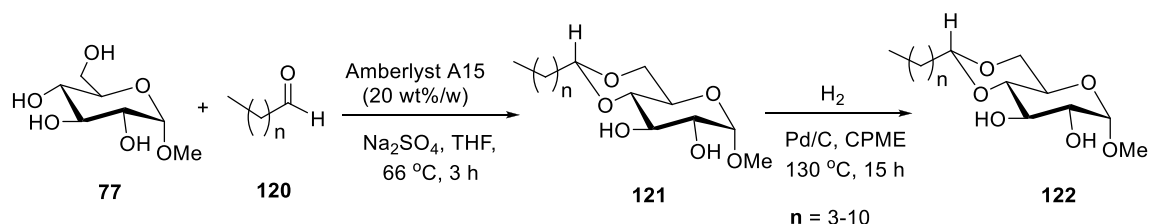


Scheme 32. Synthesis of amphiphilic hydroxyalkyl sucrose ether from unprotected sucrose

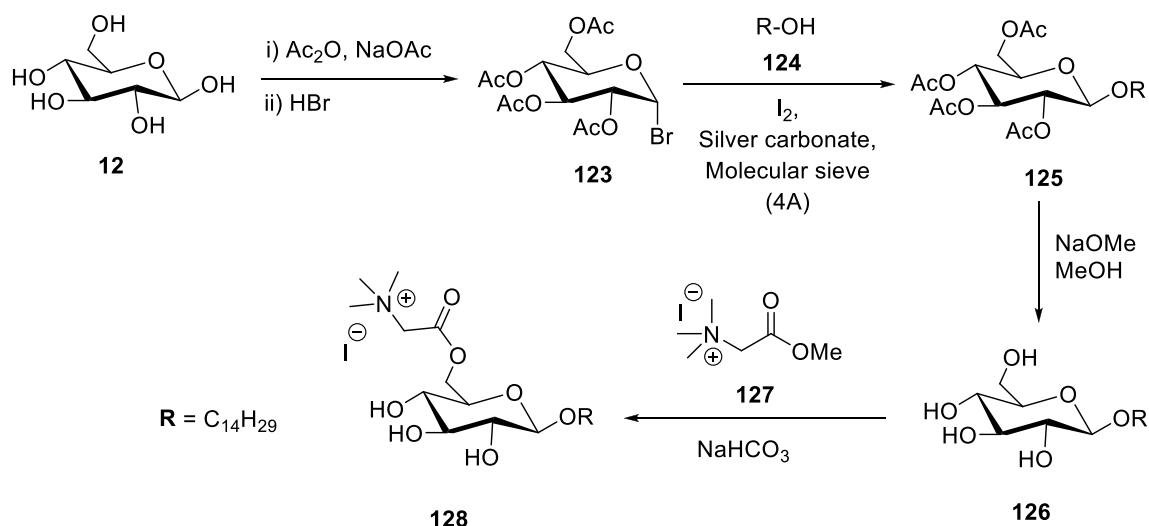
Belmessieri and co-workers developed a new series of methyl glycopyranoside ether **122** from methyl α -D-glucopyranoside **77**.⁶¹ When methyl α -D-glucopyranoside **77**, aliphatic aldehyde **120** and Amberlyst A15 (20 wt%/w) were refluxed in dry THF under argon, an intermediate methyl pyranoside acetal **121** was obtained. The reductive cleavage of methyl pyranoside acetal **121** by using Pd/C in cyclopentyl

methyl ether (CPME) afford the product methyl glycopyranoside ether **122** (Scheme 33).

In aqueous media, an ionic sugar-amino acid based surfactant (*N,N,N*-trimethyl-2-oxo-2-(((2*R*,3*S*,4*S*,5*R*)-3,4,5-trihydroxy-6-(tetradecyloxy)tetrahydro-2*H*-pyran-2-yl)methoxy)ethan-1-ammonium iodide) **128** was synthesized by Esmaeilian and co-workers.⁶² Koenigs-Knorr reaction used for the synthesis of 2,3,4,6-Tetra-



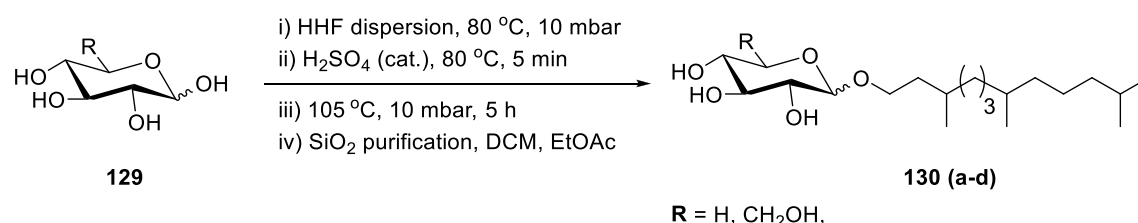
Scheme 33. Synthesis of methyl glycopyranoside ether from methyl α -D-glucopyranoside



Scheme 34. Synthesis of an ionic sugar-amino acid based surfactant

O-acetyl- α -D-glucopyranosyl bromide **123** from D-glucose⁶² which involves protection by Ac₂O/NaOMe followed treatment with HBr. The treatment of tetradecanol **124** with **123** in presence of I₂/AgCO₃ (as catalyst), molecular sieves (water absorbent) and DCM solvent leads to intermediate **125**. Deprotection of **125** using NaOMe/MeOH afford the second intermediate **126**. Trans-esterification of compound **126** and 2-methoxy-*N,N,N*-trimethyl-2-oxoethan-1-aminium iodide **127** in DMF and catalytic NaHCO₃ afford the final product **128** (Scheme 34).⁶²

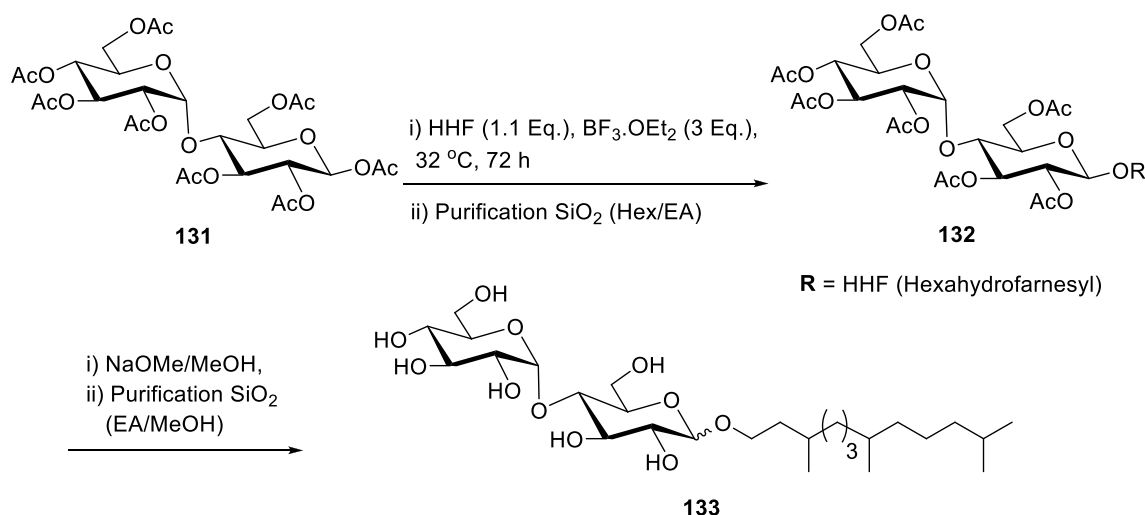
Lemahieu and collaborator developed a new series of bio-based surfactants by coupling of hexahydrofarnesol with mono and disaccharides.⁶³ The commercial sugar **129** (such as arabinose, xylose, glucose and mannose) dispersed in excess of hexahydrofarnesol (HHF) with catalytic H₂SO₄ (2 mol%) at 105°C and 10 mbar vacuum led to the formation of products **130a-d** hexa-hydrofarnesyl mono glycosides (HHFA, HHFX, HHFG, and HHFMan) after purification with silica gel chromatography (Scheme 35).



Scheme 35. Synthesis of hexahydrofarnesyl mono glycosides (HHFA, HHFX, HHFG and HHFMan).

In this protocol, the synthesis of hexahydrofarnesylmaltoside HHFMalt **133** was also explored. The reagent BF₃·OEt₂ activates the anomeric position of peracetylated maltose **131** for glycosylation and gives intermediate **132** after purification with silica gel chromatography. The deprotection of **132** by NaOMe/MeOH following further purification with silica gel chromatography (elution with ethyl acetate and methanol) leads to the product hexahydro-

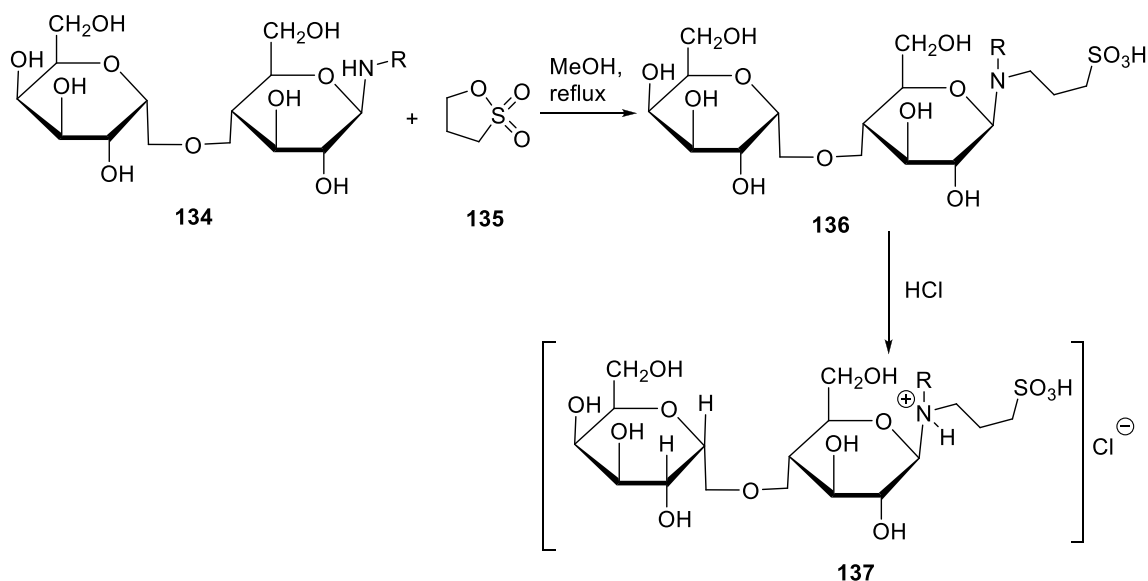
farnesylmaltoside HHF Malt **133** (Scheme 36). The CMCs (Critical micellar concentration) and surface tensions values (by drop shape tensiometry) of synthesized surfactants was determined and summarised in **Table 3**. The results reveal that HHFMalt is the most hydrophilic surfactant in this series. The CMC values for branched C₁₅HHFMalt lies within the ones described for the C₁₄ and C₁₆ derivatives.⁶³



Scheme 36. Synthesis of hexahydrofarnesylmaltoside HHFMalt **133**

Table 3. Summarised data for CMCs and corresponding surface tension for different hexahydrofarnesyl monoglycosides and diglycosides

Surfactant	Polar head	CMC		
		mmol/L	ppm	γ_{CMC} , mN/m
HHFA	Arabinose (C ₅)	0.0037-0.0060	1.34-2.15	27.3
HHFX	Xylose (C ₅)	0.0101-0.0304	3.65-10.98	28.6
HHFG	Glucose (C ₆)	0.0092-0.0336	3.58-13.11	27.1
HHFMan	Mannose (C ₆)	0.0041-0.0196	1.61-7.68	27.2
HHFMalt	Maltose (C ₆ -C ₆)	0.0298	16.49	28.3



Scheme 37. Synthesis of lactose-based surfactants from *N*-alkyl-lactosylamines

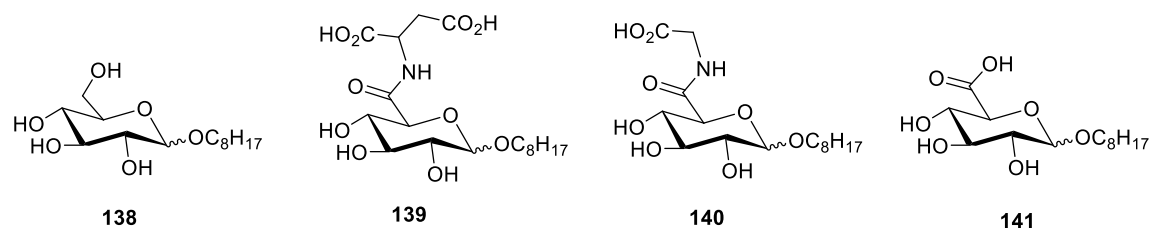


Fig. 1. Different octyl D-glucopyranoside-based surfactants

New lactose-based surfactant *O*- β -D-Galactopyranosyl-(1 \rightarrow 4)-*N*-alkyl-(3-sulfopropyl)-D-glucosamine hydrochloride **137** synthesis was demonstrated by Michocka and co-workers.⁶⁴ By refluxing the *N*-alkyl-lactosylamine **134** with 1,3-propanesultone **135** in methanol afforded the intermediate **136** which was water insoluble. Hence, the

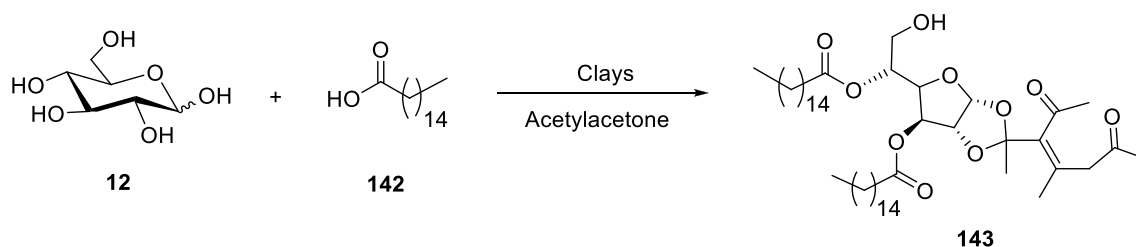
aqueous suspension of **136** was treated with HCl which gave the final product **137** (**Scheme 37**). The evaluation of CMC result reveals that synthesized compound has low CMC values but significantly reduces the surface tension. These synthesized surfactants exhibit good wetting properties, excellent foaming ability as well as good durability of foam production.

Zdarta and co-workers performed the study on octyl D-glucopyranoside **138** and its derivatives [viz-*N*-(octyl D-7-glucopyranosiduronyl)aspartic acid **139**, *N*-(octyl D-glucopyranosiduronyl)glycine **140** and octyl D-8-glucopyranosiduronic acid **141** for six different physicochemical properties with respect to natural environment (**Fig. 1**).⁶⁵ The study revealed that, octyl D-glucopyranosides were completely biodegradable by environmental microbial strains and exhibits efficient emulsifying property and effectively reduces the surface tension at surfactant/air interface at room temperature. As compared to surfactants synthesized from fossil raw resources, sugar-based surfactants were

advantageous with respect to their renewable source and environment friendly nature.

3.7 Esters

Pappalardo and co-workers developed a new clay catalysed method for the synthesis of sugar-based ester surfactant **143** from D-glucose **12**.⁶⁶ The reaction between **12** and palmitic acid **142** at 100 °C gave the product (1*R*)-2-hydroxy-1-((3*aR*,6*S*,6*aR*)-2-methyl-2-((*E*)-4-methyl-2,6-dioxohept-3-en-3-yl)-6-(propionyloxy)tetrahydrofuro[2,3-*d*][1,3]dioxol-5-yl)ethyl propionate **143** (**Scheme 38**).



Scheme 38. Clay-catalysed synthesis of sugar-based ester surfactant **143**

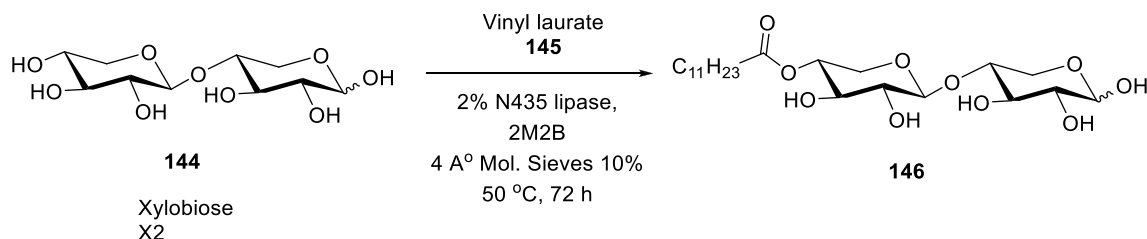
The optimized reaction parameters are solvent, temperature and catalyst. Solvents such as toluene, cyclopentyl methyl ether and a biphasic mixture isododecane/water were found ineffective. It was observed that higher temperature (100 °C) provided better yield than lower temperature (80 °C). In addition, different clay catalysts like KSF, K10, K30 and Fe^{III}-K10 were tested for reaction optimization and the results of palmitic acid conversion with respect to catalyst summarised in **Table 4**. Among tested catalyst, montmorillonites exhibited significant palmitic acid

conversion (43% at 100 °C). Fe^{III}-K10 montmorillonite is a reusable and environmentally benign catalyst, it makes more interest in this synthetic method.⁶⁶

New xylo-oligosaccharide laurate ester surfactant **146** was synthesized by Gérard and co-workers *via* enzyme catalysed reaction (**Scheme 39**).⁶⁷ The reaction between xylobiose **144** and vinyl laurate **145** in the presence of 2-methylbutan-2-ol (2M2B), commercially available 2% polymer-supported lipase N435 (Novozymes) and 10% 4A° molecular sieves at 50 °C produced the product **146** within 72 h.

Table 4. Esterification of palmitic acid with d-glucose in the presence of different clays.

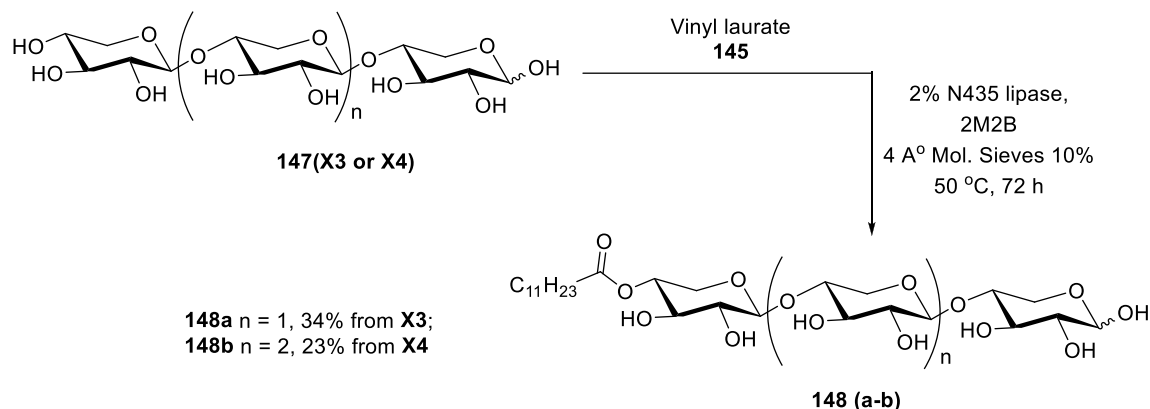
Catalyst	Conversion%	
	80 °C	100 °C
KSF	20	23
K10	22	32
K30	22	37
Fe ^{III} -K10	trace	43



Scheme 39. Enzyme-catalysed synthesis of xylo-oligosaccharide laurate ester surfactant

In the same protocol, similar method was used to synthesize 4''-*O*-laurylxylotriose **148a** and 4'''-*O*-

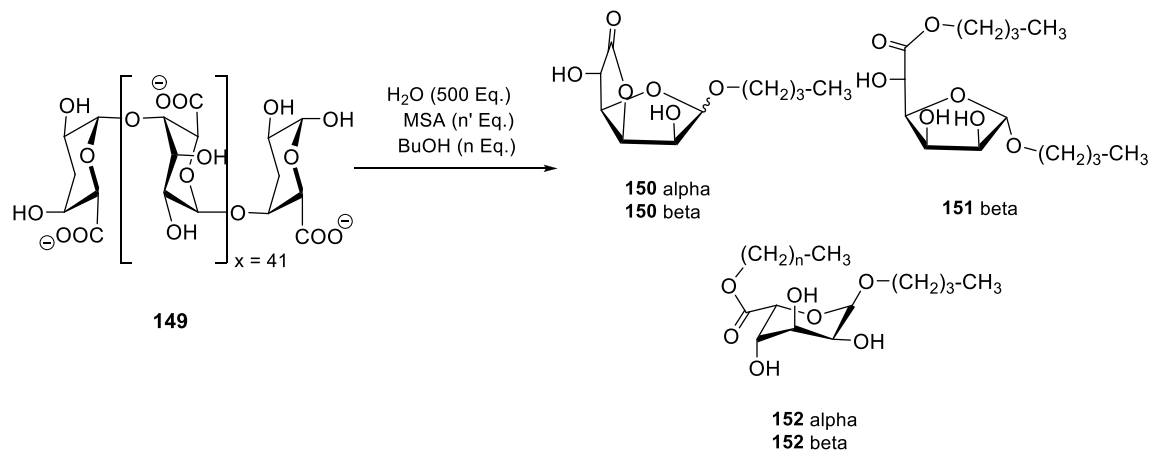
laurylxylotetraose **148b** from xylotriose **147(X3)** and xylotetraose **147(X4)** respectively (Scheme 40).⁶⁷



Scheme 40. Enzyme-catalysed synthesis of 4''-*O*-laurylxylotriose and 4'''-*O*-laurylxylotetraose

Sari-Chmayssem and co-workers reported the synthesis of alkyl-L-gulonamide-based surfactant **152** from polyguluronates and alginates.⁶⁸ The treatment of butanol (as nucleophilic solvent) with sodium polyguluronate **149** in presence of MSA (methane sulfonic acid) and water afforded three *n*-butyl L-

guluronate monosaccharide derivatives which are anomeric mixtures viz- *n*-butyl α,β -L-gulofuranosidurono-6,3-lactone (**150** α,β), (*n*-butyl) *n*-butyl β -L-gulofuranosiduronate (**151** β) and (*n*-butyl) *n*-butyl α,β -L-gulopyranosiduronate (**152** α,β) (Scheme 41).



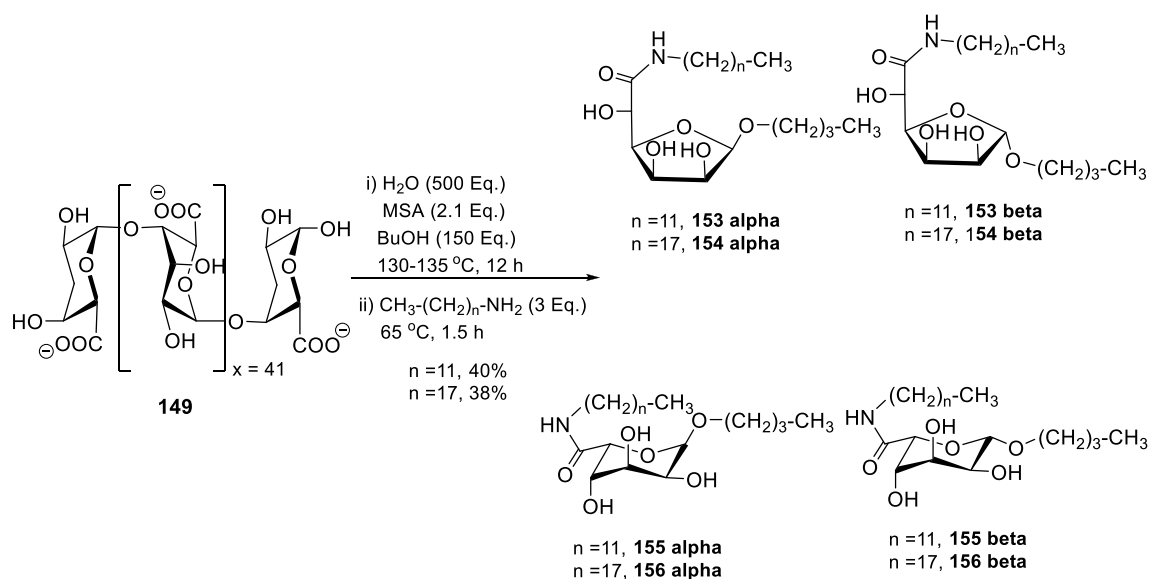
Scheme 41. Synthesis of *n*-butyl L-guluronate monosaccharide surfactant from L-polyguluronate

Further, the reaction mixture containing *n*-butyl L-guluronate monosaccharide **150-152** was subjected directly to excess of dodecyl or octadecyl amine (fatty amines) for the aminolysis reaction. The amine shows nucleophilic addition and also neutralizes the MSA. The reaction completed at 65 °C using 6 mbar pressure resulted in the products *N*-(*n*-alkyl)-*n*-butyl α,β -L-gulofuranosiduronamides (**153** α,β or **154** α,β) and *N*-(*n*-alkyl)-*n*-butyl α,β -L-gulopyranosiduronamides (**155** α,β or **156** α,β) after purification by column

chromatography (Scheme 42).⁶⁸

Using similar strategy, six isomeric forms including two α,β -furanose forms (**153** α,β or **154** α,β) and two α,β -pyranose forms (**155** α,β or **156** α,β) for *N*-(*n*-alkyl)-*n*-butyl L-guluronamides; one α -furanose form **158** α or **159** α and its α -pyranose isomer **160** α or **161** α for *N*-(*n*-alkyl)-*n*-butyl D-mannuronamide synthesized from alginate **157** (Scheme 43).⁶⁸

Enzyme Novozym 435 catalysed synthesis of 1-*O*-lauryl-D-mannitol **164** reported by Pinna and co-

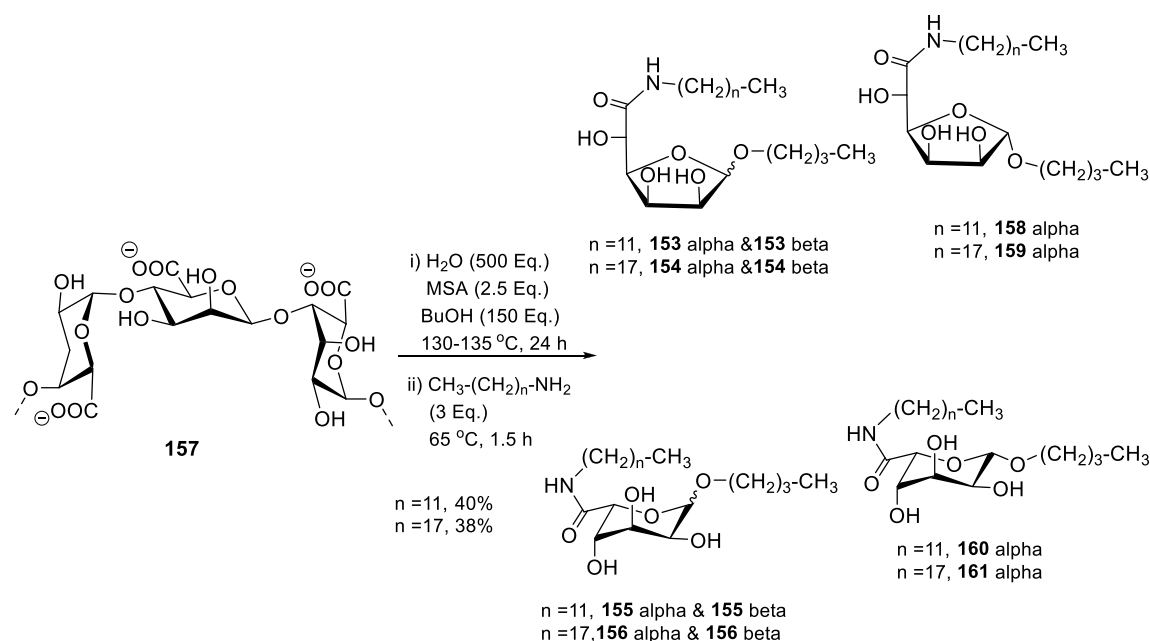


Scheme 42. Synthesis of *N*-(*n*-alkyl)-*n*-butyl α,β -L-gulofuranosiduronamides and *N*-(*n*-alkyl)-*n*-butyl α,β -L-gulofuranosiduronamides.

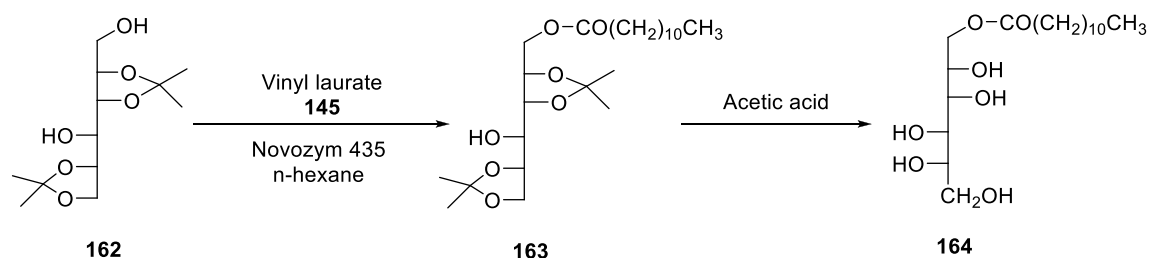
workers.⁶⁹ The compound 1,2:4,5-di-*O*-isopropylidene-D-mannitol **162** on treatment with vinyl laurate **145** using catalytic amount of immobilized *Candida antarctica* lipase B (Novozym 435) produced the intermediate **163** which on deprotection by acetic

acid led to the final product **164** (Scheme 44).

Drummond and co-workers developed a new protocol for the synthesis of sucrose and glucose-based surfactants.⁷⁰ In the first series, the reaction between lactose **59** and acyl chloride **165** in the presence of 1-



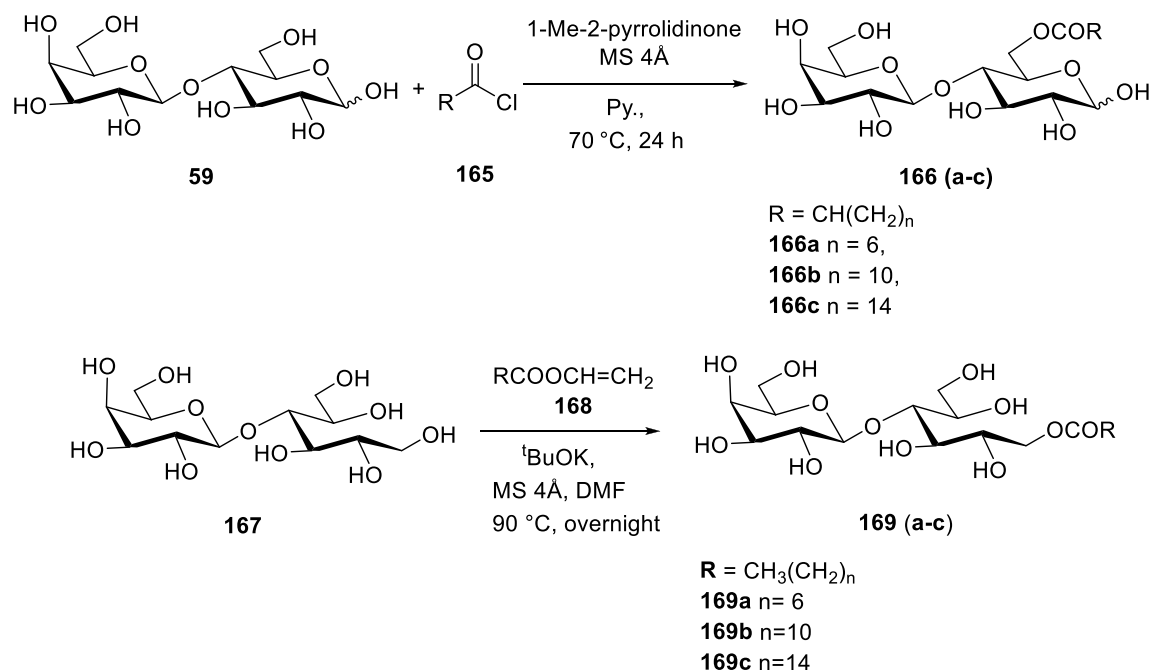
Scheme 43. Synthesis of L-guluronamide and D-mannuronamide surfactants from alginate



Scheme 44. Synthesis of 1-O-lauryl-D-mannitol surfactant

Me-2-pyrrolidinone and 4Å molecular sieve at 70 °C afforded the sucrose-based surfactants **166a-c**. Next, treatment of vinyl ester **168** with lactitol **167** using

^tBuOK as base and 4Å molecular sieves at 90 °C afforded the fatty acid mono-esters of lactitol **169a-c** in good to excellent yield (**Scheme 45**).



Scheme 45. Synthesis of fatty acid mono-esters of lactose **59** and lactitol **167**.

4. Conclusions and Future Perspectives

Carbohydrate based surfactant (CBS) brings an inclination towards sustainable cleaning agents owing to their surface active nature and numerous advantages. Ongoing research on harnessing the low-cost wastes as starting material promises the enormous potential of CBS. However, there is always a demand for further research to develop novel production processes with significant yield synchronous with the overall production cost. Use of cheap agricultural crops, wastes etc adds extra value towards safer, cleaner and greener

processes to architect economically viable CBS. Apart from economic viewpoint, sustainability is another dominant parameter that provides impetus to CBS production over conventional surfactants. Application of green chemistry approaches is the need of the hour while designing novel methods to enhance the quality and yield of the product; and minimizing the cost of production with cheaper and renewable resources. Wastes from food processing, animal fats, and dairy industrial sectors have tremendous scope in biosurfactant production. The focus should be on development of production-consumption-disposal

stages and their impacts such as carbon footprint, toxicity, and resource depletion. Nevertheless, the versatility of carbohydrate moiety cannot be underestimated, and research must emphasise on developing appropriate processes, separation and purification of products to avail the complete benefits of carbohydrate chemistry. The success of CBS will also depend on the development of suitable polar solvents and specific catalysts for the effective conversion to obtain the desired products. The polyfunctional bio-surfactants with surface active properties are sufficient enough to capture the attention and can replace their synthetic counterpart that will offer sustainable future to research and innovation.

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Raju R. Kale, born in Maharashtra, India in 1981. He completed MSc (Organic Chemistry) in 2004 at Department of Chemistry, Savitribai Phule Pune University, then completed his Ph.D. on “Synthesis of Carbohydrate based biologically important molecules” at

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Conflict of Interest

The authors declare no conflict of interest.

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